



REGIONAL LA
HOUSING PLATA
ALLIANCE COUNTY

Agenda

RHA Board of Directors
March 3, 2022 – 2:00- 4:00 p.m.

La Plata County Administration Building
1101 East 2nd Ave, Durango, CO 81301

<https://zoom.us>, Zoom Meeting ID: 951 7036 0901

A. Call Meeting to Order

B. Introductions and Roll Call

C. Public Comment

D. Approval of Agenda

E. Consent Agenda

1. Approve the Consent Agenda
 - a) February 3, 2022 Minutes
 - b) January 2022 Financial Statements

F. Decision Items

1. 2021 Audit Exemption Request – Resolution 2022-02 Exemption from Audit
2. USDA Rural Communities Grant Application

G. Discussion/Updates

1. Independent Contractor Agreement for Professional Consulting Services
2. Board Member At Large Applications
3. RFP for Financial Review Services
4. Update on SWCCOG and Region 9
5. Policy Committee and Pending Legislation Update
6. Board Action Plan Updates

H. Presentations and Engagement with Non-RHA Entities

I. Member Updates

1. Town of Bayfield
2. City of Durango
3. Town of Ignacio
4. La Plata County

J. Adjournment

Section A – Call Meeting to Order

Section B – Roll Call

Section C – Public Comment

The Board welcomes public participation in the Board meetings. Individuals wishing to address the Board under Public Comment are asked to please notify either the Chair of the Board or the Clerk to the Board upon their arrival at the meeting. Public Comment will be taken as time permits. Comments shall be limited so that everyone may be heard. This item is limited to matters under the jurisdiction of the Board, which are not on the posted agenda and items which have not already been considered by the Board. The Board limits testimony on matters not on the agenda to 3 minutes per person and not more than 20 minutes total unless the Board approves an extended time as part of the agenda. No formal action may be taken at the meeting on matters addressed at Public Comment. Comments on matters on the current agenda will be taken following discussion of each item by the Board.

Section D – Approval of Agenda

Section E – Consent Agenda

Minutes
Board of Directors Meeting ~ February 3, 2022
Via Webinar

A. Call Meeting to Order

B. Roll Call – Quorum Determination

Board Members Present: Marsha Porter-Norton – La Plata County
Katie Sickles – Town of Bayfield
Kelly Polites – Town of Bayfield
Mark Garcia – Town of Ignacio
Eva Henson – City of Durango
Mike Segrest – La Plata County

Staff/Others Attendees: Elizabeth Salkind – Housing Solutions for the Southwest
Sandhya Tillotson – Sagebrush Ltd
Lisa Bloomquist Palmer – HomesFund
Michelle Furi - La Plata Economic Development Alliance
Laura Lewis Marchino – Region 9 Economic Development District
Jessica Laitsch – Southwest Colorado Council of Governments

The meeting began at 2:02 p.m.

C. Public comment

D. Approval of the Agenda

Mark Garcia motioned to approve the agenda, Kelly Polites seconded, unanimously approved.

E. Consent Agenda

1. Approve the Consent Agenda
 - a) January 6, 2022 Minutes
 - b) December 2021 Financial Statements

Katie Sickles motioned to approve the consent agenda, Mark Garcia seconded, unanimously approved.

F. Decision Items

1. RFQ Consultant Selection

Marsha summarized the interview process; the hiring team is recommending hiring the consultant. She described some changes the team had recommended to the proposed scope of work. The team had recommended the development of a plan specifically to get housing built. The consultants had proposed taking over some administration, this will be a topic of discussion for the Board. The team had recommended reducing the scope of communications. The consultants proposed to have a point-person for general inquiries. One of the goals is to develop plan for the RHA to be successful long-term. They did clarify that they will not be executive directors, they will be developing a plan for the organization to move forward in a way that is supportive for all the member organizations. They have requested the creation of an executive committee with whom to remain in regular contact. The proposed administrative functions will need to be discussed with the Board. She expressed concern about timing if the Board decides not to move forward. She mentioned that option to hold a special meeting when all Board members could be in attendance to finalize any decisions.

Eva asked about the next steps for defining the scope of work. Marsha replied that the project roadmap would need to be defined before the implementation could be more clearly defined.

Katie stated that she did not have any concerns with the proposal, observing that it will be important to ensure the implementation remains in line with the direction everyone would like to go. Marsha replied this is one of the objectives with establishing an executive committee to help steer the process.

Mark mentioned the concept of recruiting a modular builder and asked about the RHA's role if such an opportunity arose. Marsha suggested that the RHA may need to maintain focus on a number of areas throughout the plan development process. Mike suggested this may not be the best time to change administrative support. Laura mentioned that if a specific opportunity did arise, it may require a separate contract and evaluation of the organization it would best fit with. Marsha added that there will continue to be roles for the various partners to address various types of projects.

Eva mentioned that one of the projects identified in the project with Cappelli/Project Moxie and the Economic Development Alliance is related to parcels. She suggested that if the Board is in consensus, it may be fine to proceed with a vote. Marsha suggested affirming the hiring committee's recommendation and moving forward on contracting and the scope of work.

Mike Segrest motioned to accept the committee's recommendation regarding the contract with Cappelli Consulting and Project Moxie with the modification of not contracting for the administrative services at this time and request that the committee ask the consultants to submit a revised proposed contract for review by legal counsel and to bring back to the Board as a whole for consideration, Kelly Polites seconded unanimously approved.

Marsha mentioned that the question regarding administrative services arose from the consultant's proposal to take on some of the administrative functions. Additionally, the La Plata Economic Development Alliance had proposed taking on these services. Michelle described that the Alliance is well equipped to handle the administrative duties and staff has experience managing government entities and they have adequate staff to handle financial and administrative functions. They would also offer to maintain a website as well as communications and marketing. She added that they have a working relationship with Project Moxie. Marsha added that the consultants were proposing to provide support for board meetings and budget development at a cost of \$18,000 which did not include legal or accounting. Laura mentioned that a transition would take effort which may be duplicated if the Board later decides to hire staff. Mike mentioned that his motion had excluded the proposal for administrative support and added that this would be a discussion to have with a future executive director. Kelly expressed support to proceed as currently contracted. Mark mentioned that he does not know what Mayor Baxter's perspective may be, pointing out that the Alliance is only in La Plata County rather than regional. He stated that he does not have a preference. Katie suggested that if the consultant would like to recommend specific services beyond that done by the COG that they detail those proposed services. Eva added that part of the intent may have been related to the momentum with businesses that the Alliance, she added that that there is an existing good relationship. She added that it may be best to maintain the existing arrangement at this time. Marsha summarized that the committee recommendations have been accepted, there will be work to be done on the scope of work and contract, she will follow-up with Mayor Baxter on next steps.

2. RHA records retention - Resolution 2022-01 Adopting the Colorado Records Retention Manual

Jessica summarized the purpose of the resolution.

Katie Sickles motioned to adopt Resolution 2022-01, Mike Segrest seconded, unanimously approved.

G. Discussion/Updates

1. Board member at large

Jessica reported that the RHA had received one application for the Board member-at-large position. There was discussion and consensus to re-open the application process until February 25.

2. RFP for Financial Audit Services

Jessica reported that no proposals were received. Mike and Mark suggested requesting an exemption from audit. Mike suggested pursuing a financial compilation. There was discussion about pursuing a firm to conduct a financial review.

Mike Segrest motioned to submit a request to the State for an exemption from audit for 2021 and to pursue a contract for a financial review since the last audit, Katie Sickles seconded, unanimously approved.

3. Administrative Services

Discussed earlier in the meeting.

4. Meeting dates and locations

Jessica described the proposed meeting schedule and locations.

Kelly Polites motioned to accept the schedule as presented, Katie Sickles seconded, unanimously approved.

Jessica asked about the process in the event any meetings need to be held online. Katie suggested that the meeting in question should be held virtual with no other changes. There was agreement that the host should determine whether to host in-person.

5. Discussion of Future Strategies to fund the RHA

Marsha summarized various possible funding options. Mike suggested that funding be an initial priority for the consultants. There was discussion about funding needed for operations and for projects.

6. Housing planning grant application

Jessica summarized that there had been discussion at the policy meeting about the RHA pursuing planning funds. Eva suggested that such funding may be applicable to offset some of the costs for the RHA's planning efforts. Mark mentioned that such an application would need to be solid and suggested discussion this with the State. Eva offered to discuss with DOLA.

7. Board action plan updates

No questions.

8. Policy Committee Update

Elizabeth reported that the legislative session has started, she summarized bills related to tax credits and adding an affordable housing enterprise zone. Laura added that Region 9 supports the enterprise zone bill. Marsha mentioned that there is a housing tax force looking at use of ARPA funds. She added that the classification of short-term rentals and use of lodger's tax are two significant pending issues.

I. Presentations and Engagement with Non-RHA Entities

a. Coordinating Council of Homelessness SMART goal/action items

Sandhya reported that she is facilitating the city and county plan on homelessness. Mike mentioned that the RHA's focus is on workforce housing, and this may be outside its scope. Sandhya replied that the housing policy advocacy is beyond homelessness as it is important to be aware of all aspects of housing and avoid duplicating efforts. There was discussion about including RHA as a partner focusing on building new housing, policy development, and preserving affordable housing.

Marsha and Lisa provided an update on Westside mobile home park and the concept of exploring cooperative ownership. Lisa mentioned there will be a partner call tomorrow. Elizabeth asked if the buyer's future plans are known. Lisa replied that she is not aware of the intentions of the possible buyer.

J. Member Updates

1. Town of Bayfield

Katie reported that Nicol started last week. They are working on a number of annexations.

2. City of Durango

Written report.

3. Town of Ignacio

Mark reported that they have had preliminary discussions with Artspace. They are seeking funding for the Rock Creek Project.

4. La Plata County

Mike reported there is significant interest in building affordable housing.

Other Updates

Laura reported that Region 9 is exploring potential opportunities related to financing housing. Marsha mentioned the potential for future microgrants. She added that she will follow-up on the program exploring donations of a small percentage of business profits.

Lisa reported HomesFund is expecting an award to increase their mortgage assistance loan amount. Elizabeth reported Housing Solutions received a Rehabilitation grant to assist with home repairs.

Mark asked if there would be a special meeting. Marsha will follow up with Kim, she anticipates that the contracting process could proceed with the direction provided. Mark suggested including website creation in the contract. Marsha added that there may need to be someone to "direct traffic." Laura suggested the consultant's role may be marketing, to include the website and general inquiries. Marsha summarized keeping the COG contract, have someone to respond to opportunities, and including communications and marketing. She added that if additional feedback is required or if a scope of work can be completed before the March meeting it may be worth having a meeting in February.

Mike Segrest motioned to adjourn at 3:56 p.m., Mark Garcia seconded, unanimously approved.

Regional Housing Alliance Mission Statement

As a local governmental partnership, the Regional Housing Alliance develops housing policy, identifies priorities, and allocates resources to provide La Plata County workforce and residents with affordable housing opportunities and to ensure the county remains diverse and economically strong.

RHA Financials January 2022

To: Regional Housing Alliance of La Plata County

From: Jessica Laitsch

Date: March 3, 2022

Comments: A Balance Sheet and Budget to Actual Report for January 1 to January 31, 2022 is attached.

Regional Housing Alliance of La Plata County
Balance Sheet
As of January 31, 2022

	Jan 31, 22
ASSETS	
Current Assets	
Checking/Savings	
Operating Funds (Unrestricted)	
1000 · Operating Account	10,754.89
Total Operating Funds (Unrestricted)	10,754.89
Loan Funds (Restricted)	
1006 · LPC MA Revolving Loan Fund	
LPC MA Revolving LF Interest In	1,996.92
LPC MA Revolving LF - EIAF	248,997.71
Total 1006 · LPC MA Revolving Loan Fund	250,994.63
Total Loan Funds (Restricted)	250,994.63
Total Checking/Savings	261,749.52
Other Current Assets	
1400 · Prepaid Expenses	
1400.1 · Prepaid Liability	1,449.86
Total 1400 · Prepaid Expenses	1,449.86
Total Other Current Assets	1,449.86
Total Current Assets	263,199.38
TOTAL ASSETS	263,199.38
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
2000 · Accounts Payable	420.00
Total Accounts Payable	420.00
Total Current Liabilities	420.00
Total Liabilities	420.00
Equity	
2052 · Restricted Net Assets	250,488.24
2053 · Unrestricted Net Assets	11,721.63
Net Income	569.51
Total Equity	262,779.38
TOTAL LIABILITIES & EQUITY	263,199.38

Regional Housing Alliance of La Plata County

Profit & Loss Budget vs. Actual

January 2022

	Jan 22	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
3215 · EIAF Released from Restricted	0.00	200,000.00	(200,000.00)	0.0%
3000 · Unrestricted Revenues				
3216 · SIPA Digitization Grant	3,000.00			
3121 · Interest Income - Bank Accounts	21.32	250.00	(228.68)	8.5%
Total 3000 · Unrestricted Revenues	3,021.32	250.00	2,771.32	1,208.5%
Total Income	3,021.32	200,250.00	(197,228.68)	1.5%
Expense				
4010 · Advertising	420.00			
4062 · Computer Hardware & Software	0.00	888.00	(888.00)	0.0%
4080 · Liability Insurance	131.81	1,582.00	(1,450.19)	8.3%
4315 · Professional Services				
4398 · Prof'l Services - Admin Fee	1,900.00	22,800.00	(20,900.00)	8.3%
4005 · Prof Serv Audit Fees	0.00	6,000.00	(6,000.00)	0.0%
4322 · Prof'l Services- Legal Fees	0.00	11,000.00	(11,000.00)	0.0%
4315 · Professional Services - Other	0.00	160,000.00	(160,000.00)	0.0%
Total 4315 · Professional Services	1,900.00	199,800.00	(197,900.00)	1.0%
6240 · Miscellaneous	0.00	1,000.00	(1,000.00)	0.0%
Total Expense	2,451.81	203,270.00	(200,818.19)	1.2%
Net Ordinary Income	569.51	(3,020.00)	3,589.51	(18.9)%
Net Income	569.51	(3,020.00)	3,589.51	(18.9)%

Section F – Decision Items

Request for Exemption from 2021 Audit

To: Regional Housing Alliance Board

From: Jessica Laitsch

Date: March 3, 2022

In January, staff issued an RFP seeking professional audit services for the annual audit of the financial statements for fiscal year 2021. No responses were received in response to this RFP. At the direction of the Board, staff contacted the Office of the State Auditor who confirmed that because revenues and expenses in 2021 were below \$750,000, the RHA may apply for exemption from audit. A request for exemption from audit for the fiscal year ended December 31, 2021 is being presented for consideration.

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

IF EITHER REVENUES OR EXPENDITURES EXCEED \$100,000, USE THE LONG FORM.

Under the Local Government Audit Law (Section 29-1-601, et seq., C.R.S.) any local government may apply for an exemption from audit if neither revenues nor expenditures exceed \$750,000 in the year.

EXEMPTIONS FROM AUDIT ARE NOT AUTOMATIC

To qualify for exemption from audit, a local government must complete an Application for Exemption from Audit EACH YEAR and submit it to the Office of the State Auditor (OSA).

Any preparer of an Application for Exemption from Audit-SHORT FORM must be a person skilled in governmental accounting.

Approval for an exemption from audit is granted only upon the review by the OSA.

READ ALL INSTRUCTIONS BEFORE COMPLETING AND SUBMITTING THIS FORM

ALL APPLICATIONS MUST BE FILED WITH THE OSA WITHIN 3 MONTHS AFTER THE ACCOUNTING YEAR-END.

FOR EXAMPLE, APPLICATIONS MUST BE RECEIVED BY THE OSA ON OR BEFORE MARCH 31 FOR GOVERNMENTS WITH A DECEMBER 31 YEAR-END.

GOVERNMENTAL ACTIVITY SHOULD BE REPORTED ON THE MODIFIED ACCRUAL BASIS
PROPRIETARY ACTIVITY SHOULD BE REPORTED ON A BUDGETARY BASIS

POSTMARK DATES WILL NOT BE ACCEPTED AS PROOF OF SUBMISSION ON OR BEFORE THE STATUTORY DEADLINE

PRIOR YEAR FORMS ARE OBSOLETE AND WILL NOT BE ACCEPTED. FOR YOUR REFERENCE, COLORADO REVISED STATUTES CAN BE FOUND AT:

APPLICATIONS SUBMITTED ON FORMS OTHER THAN THOSE PRESCRIBED BY THE OSA WILL NOT BE ACCEPTED.

<http://www.lexisnexis.com/hottopics/Colorado/>

APPLICATIONS MUST BE FULLY AND ACCURATELY COMPLETED.

CHECKLIST

- Has the preparer signed the application?
- Has the entity corrected all Prior Year Deficiencies as communicated by the OSA?
- Has the application been PERSONALLY reviewed and approved by the governing body?
- Did you include any relevant explanations for unusual items in the appropriate spaces at the end of each section?
- Will this application be submitted electronically?
 - If yes, have you read and understand the new Electronic Signature Policy? See new policy -> [here](#)
- or--
- If yes, have you included a resolution?
 - Does the resolution state that the governing body PERSONALLY reviewed and approved the resolution in an open public meeting?
 - Has the resolution been signed by a MAJORITY of the governing body? (See sample resolution.)
- Will this application be submitted via a mail service? (e.g. US Post Office, FedEx, UPS, courier.)
 - If yes, does the application include ORIGINAL INK SIGNATURES from the MAJORITY of the governing body?

FILING METHODS

NEW METHOD! Register and submit your Applications at our new portal!

WEB PORTAL: <https://apps.leg.co.gov/osa/lg>

MAIL: Office of the State Auditor
Local Government Audit Division
1525 Sherman St., 7th Floor
Denver, CO 80203

QUESTIONS? Email: osa.lg@state.co.us OR Phone: 303-869-3000

IMPORTANT!

All Applications for Exemption from Audit are subject to review and approval by the Office of the State Auditor.

Governmental Activity should be reported on the Modified Accrual Basis

Proprietary Activity should be reported on the Cash or Budgetary Basis

Failure to file an application or denial of the request could cause the local government to lose its exemption from audit for that year and the ensuing year.

In that event, AN AUDIT SHALL BE REQUIRED.

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

NAME OF GOVERNMENT
ADDRESS

Regional Housing Alliance of La Plata County
124 East 9th Street
Durango, CO 81301
Jessica Laitsch
970-759-4134
info@swccog.org
N/A

For the Year Ended
12/31/21
or fiscal year ended:

CONTACT PERSON
PHONE
EMAIL
FAX

PART 1 - CERTIFICATION OF PREPARER

I certify that I am skilled in governmental accounting and that the information in the application is complete and accurate, to the best of my knowledge.

NAME:
TITLE
FIRM NAME (if applicable)
ADDRESS
PHONE
DATE PREPARED

Jessica Laitsch
Operations and Accounting Analyst
Southwest Colorado Council of Governments
295 Girard Street, Ste B, Durango, CO 81303
970-759-4134
2/16/2022

PREPARER (SIGNATURE REQUIRED)

Please indicate whether the following financial information is recorded using Governmental or Proprietary fund types	GOVERNMENTAL <small>(MODIFIED ACCRUAL BASIS)</small>	PROPRIETARY <small>(CASH OR BUDGETARY BASIS)</small>
	<input checked="" type="checkbox"/>	<input type="checkbox"/>

PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#	Description	Round to nearest Dollar	Please use this space to provide any necessary explanations
2-1	Taxes: Property (report mills levied in Question 10-6)	\$ -	
2-2	Specific ownership	\$ -	
2-3	Sales and use	\$ -	
2-4	Other (specify):	\$ -	
2-5	Licenses and permits	\$ -	
2-6	Intergovernmental: Grants	\$ -	
2-7	Conservation Trust Funds (Lottery)	\$ -	
2-8	Highway Users Tax Funds (HUTF)	\$ -	
2-9	Other (specify):	\$ -	
2-10	Charges for services	\$ -	
2-11	Fines and forfeits	\$ -	
2-12	Special assessments	\$ -	
2-13	Investment income	\$ -	
2-14	Charges for utility services	\$ -	
2-15	Debt proceeds (should agree with line 4-4, column 2)	\$ -	
2-16	Lease proceeds	\$ -	
2-17	Developer Advances received (should agree with line 4-4)	\$ -	
2-18	Proceeds from sale of capital assets	\$ -	
2-19	Fire and police pension	\$ -	
2-20	Donations	\$ -	
2-21	Other (specify): Interest Income	\$ 251	
2-22		\$ -	
2-23		\$ -	
2-24	(add lines 2-1 through 2-23) TOTAL REVENUE	\$ 251	

PART 3 - EXPENDITURES/EXPENSES

EXPENDITURES: All expenditures for all funds must be reflected in this section, including the purchase of capital assets and principal and interest payments on long-term debt. Financial information will not include fund equity information.

Line#	Description	Round to nearest Dollar	Please use this space to provide any necessary explanations
3-1	Administrative	\$ 356	
3-2	Salaries	\$ -	
3-3	Payroll taxes	\$ -	
3-4	Contract services	\$ 22,150	
3-5	Employee benefits	\$ -	
3-6	Insurance	\$ 1,514	
3-7	Accounting and legal fees	\$ 1,231	
3-8	Repair and maintenance	\$ -	
3-9	Supplies	\$ -	
3-10	Utilities and telephone	\$ -	
3-11	Fire/Police	\$ -	
3-12	Streets and highways	\$ -	
3-13	Public health	\$ -	
3-14	Capital outlay	\$ -	
3-15	Utility operations	\$ -	
3-16	Culture and recreation	\$ -	
3-17	Debt service principal (should agree with Part 4)	\$ -	
3-18	Debt service interest	\$ -	
3-19	Repayment of Developer Advance Principal (should agree with line 4-4)	\$ -	
3-20	Repayment of Developer Advance Interest	\$ -	
3-21	Contribution to pension plan (should agree to line 7-2)	\$ -	
3-22	Contribution to Fire & Police Pension Assoc. (should agree to line 7-2)	\$ -	
3-23	Other (specify):		
3-24		\$ -	
3-25		\$ -	
3-26	(add lines 3-1 through 3-24) TOTAL EXPENDITURES/EXPENSES	\$ 25,251	

If TOTAL REVENUE (Line 2-24) or TOTAL EXPENDITURES (Line 3-26) are GREATER than \$100,000 - **STOP**. You may not use this form. Please use the "Application for Exemption from Audit - LONG FORM".

PART 4 - DEBT OUTSTANDING, ISSUED, AND RETIRED

Please answer the following questions by marking the appropriate boxes.

	Yes	No		
4-1 Does the entity have outstanding debt? If Yes, please attach a copy of the entity's Debt Repayment Schedule.	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
4-2 Is the debt repayment schedule attached? If no, MUST explain: <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">N/A</div>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
4-3 Is the entity current in its debt service payments? If no, MUST explain: <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">N/A</div>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
4-4 Please complete the following debt schedule, if applicable: (please only include principal amounts)(enter all amount as positive numbers)				
	Outstanding at end of prior year*	Issued during year		
	Retired during year	Outstanding at year-end		
General obligation bonds	\$ -	\$ -	\$ -	\$ -
Revenue bonds	\$ -	\$ -	\$ -	\$ -
Notes/Loans	\$ -	\$ -	\$ -	\$ -
Leases	\$ -	\$ -	\$ -	\$ -
Developer Advances	\$ -	\$ -	\$ -	\$ -
Other (specify):	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ -	\$ -	\$ -

*must tie to prior year ending balance

	Yes	No
4-5 Does the entity have any authorized, but unissued, debt? If yes: How much? \$ - Date the debt was authorized: _____	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4-6 Does the entity intend to issue debt within the next calendar year? If yes: How much? \$ -	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4-7 Does the entity have debt that has been refinanced that it is still responsible for? If yes: What is the amount outstanding? \$ -	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4-8 Does the entity have any lease agreements? If yes: What is being leased? _____ What is the original date of the lease? _____ Number of years of lease? _____ Is the lease subject to annual appropriation? _____ What are the annual lease payments? \$ -	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Please use this space to provide any explanations or comments:

PART 5 - CASH AND INVESTMENTS

Please provide the entity's cash deposit and investment balances.

	Amount	Total
5-1 YEAR-END Total of ALL Checking and Savings Accounts	\$ 262,318	
5-2 Certificates of deposit	\$ -	
Total Cash Deposits		\$ 262,318
Investments (if investment is a mutual fund, please list underlying investments):		
	\$ -	
	\$ -	
	\$ -	
	\$ -	
Total Investments		\$ -
Total Cash and Investments		\$ 262,318

Please answer the following questions by marking in the appropriate boxes

	Yes	No	N/A
5-4 Are the entity's Investments legal in accordance with Section 24-75-601, et. seq., C.R.S.?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5-5 Are the entity's deposits in an eligible (Public Deposit Protection Act) public depository (Section 11-10.5-101, et seq. C.R.S.)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If no, MUST use this space to provide any explanations:

PART 6 - CAPITAL ASSETS

Please answer the following questions by marking in the appropriate boxes.

Yes No

- 6-1 Does the entity have capital assets? Yes No
- 6-2 Has the entity performed an annual inventory of capital assets in accordance with Section 29-1-506, C.R.S.,? If no, MUST explain: Yes No

N/A

Complete the following capital assets table:	Balance - beginning of the year*	Additions (Must be included in Part 3)	Deletions	Year-End Balance
Land	\$ -	\$ -	\$ -	\$ -
Buildings	\$ -	\$ -	\$ -	\$ -
Machinery and equipment	\$ -	\$ -	\$ -	\$ -
Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
Infrastructure	\$ -	\$ -	\$ -	\$ -
Construction In Progress (CIP)	\$ -	\$ -	\$ -	\$ -
Other (explain):	\$ -	\$ -	\$ -	\$ -
Accumulated Depreciation	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ -	\$ -	\$ -

Please use this space to provide any explanations or comments:

PART 7 - PENSION INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes No

- 7-1 Does the entity have an "old hire" firefighters' pension plan? Yes No
- 7-2 Does the entity have a volunteer firefighters' pension plan? Yes No
- If yes: Who administers the plan?

Indicate the contributions from:

Tax (property, SO, sales, etc.):	\$ -
State contribution amount:	\$ -
Other (gifts, donations, etc.):	\$ -
TOTAL	\$ -
What is the monthly benefit paid for 20 years of service per retiree as of Jan 1?	\$ -

Please use this space to provide any explanations or comments:

PART 8 - BUDGET INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes No N/A

- 8-1 Did the entity file a budget with the Department of Local Affairs for the current year in accordance with Section 29-1-113 C.R.S.? Yes No N/A
-
- 8-2 Did the entity pass an appropriations resolution, in accordance with Section 29-1-108 C.R.S.? If no, MUST explain: Yes No N/A

If yes: Please indicate the amount budgeted for each fund for the year reported:

Governmental/Proprietary Fund Name	Total Appropriations By Fund
General Fund	\$ 203,270

PART 9 - TAXPAYER'S BILL OF RIGHTS (TABOR)

Please answer the following question by marking in the appropriate box

Yes

No

9-1 Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?

Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.

If no, **MUST** explain:

PART 10 - GENERAL INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes

No

10-1 Is this application for a newly formed governmental entity?

10-1

If yes: Date of formation:

10-2 Has the entity changed its name in the past or current year?

If yes: Please list the NEW name & PRIOR name:

10-3 Is the entity a metropolitan district?

Please indicate what services the entity provides:

10-4 Does the entity have an agreement with another government to provide services?

If yes: List the name of the other governmental entity and the services provided:

10-5 Has the district filed a *Title 32, Article 1 Special District Notice of Inactive Status* during

If yes: Date Filed:

10-6 Does the entity have a certified Mill Levy?

If yes:

Please provide the following mills levied for the year reported (do not report \$ amounts):

Bond Redemption mills	-
General/Other mills	-
Total mills	-

Please use this space to provide any explanations or comments:

PART 11 - GOVERNING BODY APPROVAL

Please answer the following question by marking in the appropriate box		YES	NO
12-1	If you plan to submit this form electronically, have you read the new Electronic Signature Policy?	<input type="checkbox"/>	<input type="checkbox"/>

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedure

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as DocuSign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
 - a. Include a copy of an adopted resolution that documents formal approval by the Board, **or**
 - b. Include electronic signatures obtained through a software program such as DocuSign or Echosign in accordance with the requirements noted above.

Print the names of ALL members of current governing body below.		A <u>MAJORITY</u> of the members of the governing body must complete and sign in the column below.
Board Member 1	Print Board Member's Name	I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed _____ Date: _____ My term Expires: _____
Board Member 2	Print Board Member's Name	I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed _____ Date: _____ My term Expires: _____
Board Member 3	Print Board Member's Name	I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed _____ Date: _____ My term Expires: _____
Board Member 4	Print Board Member's Name	I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed _____ Date: _____ My term Expires: _____
Board Member 5	Print Board Member's Name	I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed _____ Date: _____ My term Expires: _____
Board Member 6	Print Board Member's Name	I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed _____ Date: _____ My term Expires: _____
Board Member 7	Print Board Member's Name	I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed _____ Date: _____ My term Expires: _____



RESOLUTION NO. 2022-02

A RESOLUTION APPROVING AN EXEMPTION FROM AUDIT FOR FISCAL YEAR 2021 FOR THE REGIONAL HOUSING ALLIANCE OF LA PLATA COUNTY, STATE OF COLORADO.

WHEREAS, the Board of Directors of the Regional Housing Alliance wishes to claim exemption from the audit requirement of Section 29-1-603, C.R.S.; and

WHEREAS, Section 29-1-604, C.R.S., states that any local government where neither revenues nor expenditures exceed seven hundred and fifty thousand dollars may, with the approval of the State Auditor, be exempt from the provision of Section 29-1-603, C.R.S.; and

WHEREAS, neither revenue nor expenditures for the Regional Housing Alliance exceeded \$100,000 for Fiscal Year 2021; and

WHEREAS, an application for exemption from audit for the Regional Housing Alliance has been prepared by Jessica Laitsch of the Southwest Colorado Council of Governments, a person skilled in governmental accounting; and

WHEREAS, said application for exemption from audit has completed in accordance with regulations, issued by the State Auditor.

NOW, THEREFORE, BE IT RESOLVED be it resolved by the Board of Directors of the Regional Housing Alliance that the application for exemption from audit for the Regional Housing Alliance for the Fiscal Year ended December 31, 2021, has been personally reviewed and is hereby approved by a majority of the Board of Directors of the Regional Housing Alliance; that those members of the Regional Housing Alliance have signified their approval by signing below; and that this resolution shall be attached to, and shall become a part of, the application for exemption from audit of the Regional Housing Alliance for the fiscal year ended December 31, 2021.

ADOPTED, in La Plata County Colorado this 3 day of March, A.D., 2022.

Kim Baxter, Board President

Jessica Laitsch, SWCCOG Accounting and Operations Analyst

Type or Print Names of
Members of Governing Body

Date Term Expires

Signature

Kim Baxter

Eva Henson

Marsha Porter-Norton

Mike Segrest

Mark Garcia

Stella Cox

Kathleen Sickles

Kelly Polites

USDA Rural Community Development Initiative Grant

To: Regional Housing Alliance Board

From: Jessica Laitsch

Date: March 3, 2022

The US Department of Agriculture has announced Rural Community Development Initiative Grants to help non-profit housing and community development organizations, low-income rural communities and federally recognized tribes support housing, community facilities and community and economic development projects in rural areas. Funds may be used to improve housing, for community facilities, and for community and economic development projects in rural areas. Grants may be used for, but are not limited to:

Training sub-grantees to conduct:

- Home-ownership education
- Minority business entrepreneur education

Providing technical assistance to sub-grantees on:

- Strategic plan development
- Accessing alternative funding sources
- Board training
- Developing successful child care facilities
- Creating training tools, such as videos, workbooks, and reference guides
- Effective fundraising techniques

The minimum grant award is \$50,000; maximum grant award is \$250,000. The matching fund requirement is at least equal to amount of grant. Grant funds must be utilized within three years from date of the award. Applications are due Tuesday, April 19, 2022.

Would the Board like to apply for these funds?

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

DEPARTMENT OF AGRICULTURE

Submission for OMB Review; Comment Request

February 3, 2022.

The Department of Agriculture has submitted the following information collection requirement(s) to OMB for review and clearance under the Paperwork Reduction Act of 1995, Public Law 104–13. Comments are requested regarding; whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; the accuracy of the agency's estimate of burden including the validity of the methodology and assumptions used; ways to enhance the quality, utility and clarity of the information to be collected; and ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

Comments regarding this information collection received by March 10, 2022 will be considered. Written comments and recommendations for the proposed information collection should be submitted within 30 days of the publication of this notice on the following website www.reginfo.gov/public/do/PRAMain. Find this particular information collection by selecting "Currently under 30-day Review—Open for Public Comments" or by using the search function.

An agency may not conduct or sponsor a collection of information unless the collection of information displays a currently valid OMB control number and the agency informs potential persons who are to respond to the collection of information that such persons are not required to respond to the collection of information unless it

displays a currently valid OMB control number.

Animal and Plant Health Inspection Service

Title: Qualitative Feedback on Agency Service Delivery.

OMB Control Number: 0579–0377.

Summary of Collection: Executive Order 12862 directs Federal agencies to provide service to the public that matches or exceeds the best service available in the private sector. In order to work continuously to ensure that our programs are effective and meet our customers' needs, the Animal and Plant Health Inspection Service (hereafter "APHIS") seeks to obtain OMB approval of a generic clearance to collect qualitative feedback on its service delivery. By qualitative feedback APHIS means information that provides useful insights on perceptions and opinions, but are not statistical surveys that yield quantitative results that can be generalized to the population of study.

This collection of information is necessary to enable APHIS to garner customer and stakeholder feedback in an efficient, timely manner, in accordance with its commitment to improving service delivery. The information collected from APHIS's customers and stakeholders will help ensure that users have an effective, efficient, and satisfying experience with APHIS's programs. This feedback will provide insights into customer or stakeholder perceptions, experiences and expectations, provide an early warning of issues with service, or focus attention on areas where communication, training or changes in operations might improve delivery of products or services. These collections will allow for ongoing, collaborative and actionable communications between APHIS and its customers and stakeholders. It will also allow feedback to contribute directly to the improvement of program management.

Need and Use of the Information:

This information collection activity provides a means for the Animal and Plant Health Inspection Service (APHIS) to garner qualitative customer and stakeholder feedback in an efficient, timely manner, in accordance with APHIS' commitment to improving service delivery.

By qualitative feedback, we mean information that provides useful insights on perceptions and opinions,

but not statistical surveys that yield quantitative results that can be generalized to the population of study. This feedback provides insights into customer or stakeholder perceptions, experiences, and expectations; provides an early warning of issues with service; or focuses attention on areas where communication, training, or changes in operations might improve delivery of products or services. This collection will allow for ongoing, generic collaborative and actionable communications between APHIS and its customers and stakeholders. It will also allow feedback to contribute directly to the improvement of program management.

The solicitation of feedback will target areas such as timeliness, appropriateness, accuracy of information, courtesy, efficiency of service delivery, and resolution of issues with service delivery. Responses will be assessed to plan and inform efforts to improve or maintain the quality of service offered to the public. If this information is not collected, vital feedback from customers and stakeholders on APHIS' services will be unavailable.

Description of Respondents: Individuals and households; businesses and organizations; State, local, or Tribal governments; and foreign federal governments.

Number of Respondents: 70,000.

Frequency of Responses: Reporting: On occasion.

Total Burden Hours: 17,500.

Levi S. Harrell,

Departmental Information Collection Clearance Officer.

[FR Doc. 2022–02595 Filed 2–7–22; 8:45 am]

BILLING CODE 3410–34–P

DEPARTMENT OF AGRICULTURE

Rural Housing Service

[Docket No. RHS–21–CF–0023]

Rural Community Development Initiative (RCDI) for Fiscal Year 2022

AGENCY: Rural Housing Service, Department of Agriculture.

ACTION: Notice of solicitation of applications.

SUMMARY: The Rural Housing Service, a Rural Development agency of the United

States Department of Agriculture (USDA), announces the acceptance of applications under the Rural Community Development Initiative (RCDI) program for fiscal year (FY) 2022. These grants will be made to qualified intermediary organizations that will provide financial and technical assistance to recipients to develop their capacity and ability to undertake projects related to housing, community facilities, or community and economic development that will support the community. The NOSA is being issued prior to passage of a final appropriations act for FY 2022 to allow potential applicants time to submit applications for financial assistance under the program and to give the Agency time to process applications. Once the FY 2022 funding amount is determined, the Agency will publish it on its website at <https://www.rd.usda.gov/newsroom/notices-solicitation-applications-nosas>.

DATES: Completed applications must be submitted using one of the following methods:

- *Paper:* The Agency must receive a paper application by 4:00 p.m. local time, April 25, 2022. Applicants intending to mail applications must provide sufficient time to permit delivery on or before the closing deadline date and time. Acceptance by the United States Postal Service or private mailer does not constitute delivery. Facsimile (FAX), electronic mail, and postage due applications will not be accepted. The application dates and times are firm. The Agency will not consider any application received after the deadline.

- *Electronic:* Electronic applications must be submitted via *Grants.gov* by 11:59 p.m. Eastern time on April 19, 2022. The application dates and times are firm. The Agency will not consider any application received after the deadline.

ADDRESSES: Applicants wanting to apply for assistance may download the application documents and requirements as stated in this Notice from the RCDI website: <https://www.rd.usda.gov/programs-services/community-facilities/rural-community-development-initiative-grants>.

Application information for electronic submissions may be found at <https://www.grants.gov/>.

Applicants may also request paper application packages from the Rural Development office in their state. A list of Rural Development State offices contacts can be found via https://www.rd.usda.gov/files/CF_State_Office_Contacts.pdf.

FOR FURTHER INFORMATION CONTACT: Shirley J. Stevenson, Community Programs Specialist, Rural Development, United States Department of Agriculture, 1400 Independence Ave. SW, Washington, DC 20250, Phone: (202) 205-9685, Email: Shirley.Stevenson@usda.gov.

SUPPLEMENTARY INFORMATION:

Authority

This solicitation is authorized pursuant by Congress in 1999 (Pub. L. 106-78), amended by the Further Consolidated Appropriations Act, 2020 (Pub. L. 116-94), and funding continued under the enactment of the Continuing Appropriations Act, 2021 (Pub. L. 116-260).

Rural Development: Key Priorities

The Agency encourages applicants to consider projects that will advance the following key priorities:

- Assisting rural communities recover economically from the impacts of the COVID-19 pandemic, particularly disadvantaged communities;
- Ensuring all rural residents have equitable access to RD programs and benefits from RD funded projects; and
- Reducing climate pollution and increasing resilience to the impacts of climate change through economic support to rural communities.

For further information, visit <https://www.rd.usda.gov/priority-points>.

Overview

Federal Agency: Rural Housing Service (RHS).

Funding Opportunity Title: Rural Community Development Initiative.

Announcement Type: Notice of Solicitation of Applications (NOSA).

Funding Amount: The NOSA is being issued prior to the passage of a final appropriations act for Fiscal Year (FY) 2022. Once the funding amount for this Program has been established by final appropriations act for FY 2022, the Agency will publish it on its website at: <https://www.rd.usda.gov/newsroom/notices-solicitation-applications-nosas>. *Assistance Listing (AL)* (Formerly the *Catalog of Federal Domestic Assistance (CFDA)*) Number: 10.446.

Funding Opportunity Number: USDA-RD-HCFP-RCDI-2022.

Due Dates: Applications must be submitted using one of the following methods:

- *Paper:* The deadline for receipt of a paper application is 4 p.m. local time, April 25, 2022.
- *Electronic:* Electronic applications will be accepted via *Grants.gov*. The deadline for receipt of an electronic applications via *Grants.gov* is 11:59 p.m.

Eastern time on April 19, 2022. The application dates and times are firm. The Agency will not consider any application received after the deadline. Applicants intending to mail applications must provide sufficient time to permit delivery on or before the closing deadline date and time. Acceptance by the United States Postal Service or private mailer does not constitute delivery. Facsimile (FAX), electronic mail and postage due applications will not be accepted. Prior to official submission of applications, applicants may request technical assistance or other application guidance from the Agency, as long as such requests are made prior to April 14, 2022. Technical assistance is not meant to be an analysis or assessment of the quality of the materials submitted, a substitute for agency review of completed applications, nor a determination of eligibility, if such determination requires in-depth analysis. The Agency will not solicit or consider scoring or eligibility information that is submitted after the application deadline. The Agency reserves the right to contact applicants to seek clarification information on materials contained in the submitted application.

Items in the Supplementary Information

- I. Program Description
- II. Federal Award Information
- III. Definitions
- IV. Eligibility Information
- V. Application and Submission Information
- VI. Application Review Information
- VII. Federal Awarding Administration Information
- VIII. Federal Awarding Agency Contacts
- IX. Other Information

I. Program Description

Congress first authorized the RCDI in 1999 pursuant to the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act 2000 (Pub. L. 106-78), as amended by the Further Consolidated Appropriations Act, 2020 (Pub. L. 116-94), and funding continued under the enactment of the Continuing Appropriations Act, 2021 (Pub. L. 116-260). The program is designed to assist qualified private organizations, nonprofit organizations, and public (including tribal) intermediary organizations, proposing to carry out financial and technical assistance programs to improve housing, community facilities, and community and economic development projects in rural areas. The RCDI program requires the intermediary (grantee) to provide a program of financial and technical

assistance to recipients. The recipients will, in turn, provide programs to their communities (beneficiaries).

II. Federal Award Information

The Agency will publish the amount of funding received for FY 2022 on its website at: <https://www.rd.usda.gov/newsroom/notices-solicitation-applications-nosas>.

Qualified private organizations, nonprofit organizations and public (including tribal) intermediary organizations proposing to carry out financial and technical assistance programs will be eligible to receive grant funding.

The intermediary will be required to provide matching funds in an amount at least equal to the RCDI grant. In-kind contributions cannot be used as matching funds. Partnerships with other federal, state, local, private, and nonprofit entities are encouraged.

The respective minimum and maximum grant amounts per intermediary are \$50,000 and \$250,000, respectively. The intermediary must provide a program of financial and technical assistance to recipients to develop their capacity and ability to undertake projects related to housing, community facilities, or community and economic development that will support the community.

Grant funds must be utilized within three years from date of the award.

A grantee that has an outstanding RCDI grant over three years old, as of the application due date in this Notice, is not eligible to apply for this round of funding.

The intermediary must provide a program of financial and technical assistance to one or more of the following: A private, nonprofit community-based housing and development organization, a low-income rural community or a federally recognized Tribe. An intermediary proposing to serve one or more Federally recognized tribes must include a resolution of support with its application from the Tribes it proposes to serve. If the resolution of support is not submitted for each Tribe, the Tribe will be considered ineligible as a recipient. This requirement is being added to ensure collaboration during the application process between intermediaries and all Tribes that they propose to serve.

III. Program Definitions

Agency—The Rural Housing Service or its successor.

Beneficiary—Entities or individuals that receive benefits from assistance provided by the recipient.

Capacity—The ability of a recipient to implement housing, community facilities, or community and economic development projects.

Conflict of interest—A situation in which a person or entity has competing personal, professional, or financial interests that make it difficult for the person or business to act impartially. Regarding use of both grant and matching funds, Federal procurement standards prohibit transactions that involve a real or apparent conflict of interest for owners, employees, officers, agents, or their immediate family members having a financial or other interest in the outcome of the project; or that restrict open and free competition for unrestrained trade. Specifically, project funds may not be used for services or goods going to, or coming from, a person or entity with a real or apparent conflict of interest, including, but not limited to, owner(s) and their immediate family members. An example of conflict of interest occurs when the grantee's employees, board of directors, or the immediate family of either, have the appearance of a professional or personal financial interest in the recipients receiving the benefits or services of the grant.

Federally recognized Tribes—Tribal entities recognized and eligible for funding and services from the Bureau of Indian Affairs, based on the most recent notice in the **Federal Register** published by the Bureau of Indian Affairs and Tribes that received federal recognition after the most recent publication. Tribally Designated Housing Entities are eligible RCDI recipients.

Financial assistance—Funds, not to exceed \$10,000 per award, used by the intermediary to purchase supplies and equipment to build the recipient's capacity.

Funds—The RCDI grant and matching funds that have been provided by the Grantee.

Intermediary—A qualified private organization, nonprofit organization (including faith-based and community organizations and philanthropic organizations), or public (including tribal) organization that provides financial and technical assistance to multiple recipients.

Low-income rural community—An authority, district, economic development authority, regional council, federally recognized Tribe, or unit of government representing an incorporated city, town, village, county, township, parish, Indian reservation or borough whose income is at or below 80 percent of either the state or national Median Household Income as measured by the 2010 Census.

Matching funds—Cash or confirmed funding commitments. Matching funds must be at least equal to the grant amount and committed for a period of not less than the grant performance period.

Recipient—The entity that receives the financial and technical assistance from the intermediary. The recipient must be a nonprofit community-based housing and development organization, a low-income rural community or a federally recognized Tribe.

Rural and rural area—Any area other than (i) a city or town that has a population of greater than 50,000 inhabitants and (ii) the urbanized area contiguous and adjacent to such city or town.

Technical assistance—Skilled help in improving the recipient's abilities in the areas of housing, community facilities, or community and economic development.

IV. Eligibility Information

Applicants must meet all of the following eligibility requirements by the application deadline. Applications which fail to meet any of these requirements by the application deadline will be deemed ineligible, will not be evaluated further, and will not receive a Federal award.

A. Eligible Applicants

1. Qualified private organizations, nonprofit organizations (including faith-based organizations in accordance with 7 CFR part 16, and community organizations and philanthropic foundations), and public (including tribal) intermediary organizations are eligible applicants. Definitions that describe eligible organizations and other key terms are listed below.

2. The recipient must be a nonprofit community-based housing and development organization, low-income rural community, or federally recognized Tribe based on the RCDI definitions of these groups.

3. Private nonprofit, faith, or community-based organizations must provide a certificate of incorporation and a certificate of good standing from the Secretary of State of the State of incorporation, or other similar and valid documentation of current nonprofit status. For low-income rural community recipients, the Agency requires evidence that the entity is a public body and census data verifying that the median household income of the community where the office receiving the financial and technical assistance is located is at, or below, 80 percent of the State or national median household income, whichever is higher. For Federally

recognized tribes, the Agency needs the page listing their name from the current **Federal Register** list of tribal entities recognized and eligible for funding services (see the definition of Federally recognized tribes in this Notice for details on this list). An intermediary proposing to serve one or more Federally recognized Tribes must include a resolution of support with its application from the Tribes it proposes to serve. If the resolution of support is not submitted for each Tribe, the Tribe will be considered ineligible as a recipient. This requirement is being added to ensure collaboration during the application process between intermediaries and all Tribes that they propose to serve.

4. In prior Fiscal Years any corporation that had been convicted of a felony criminal violation under any Federal law within the preceding 24 months or that had any unpaid Federal tax liability that had been assessed, for which all judicial and administrative remedies had been exhausted or lapsed, and that was not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, has not been eligible for financial assistance provided with full-year appropriated funds in accordance with prior appropriations acts unless a Federal agency had considered suspension or debarment of the corporation and made a determination that this further action was not necessary to protect the interests of the Government. It is possible that a similar provision will be included in the FY appropriations act for FY 2022, once enacted.

B. Cost Sharing or Matching

Matching funds are required to be provided in an amount that, at a minimum, is equal to the amount of the grant.

If this matching fund requirement is not met, the application will be deemed ineligible. See Section V, Application and Submission Information, for required pre-award and post award matching funds documentation submission.

Matching funds must be in the form of cash or confirmed funding commitments that, at a minimum, are equal to the grant amount. Matching funds must also be committed for a period of not less than the grant performance period. These funds can only be used for eligible RCDI activities and must be used to support the overall purpose of the RCDI program.

In-kind contributions such as salaries, donated time and effort, real and nonexpendable personal property, and

goods and services cannot be used as matching funds.

Grant funds and matching funds must be used in equal proportions. This does not mean funds have to be used equally by line item.

The request for advance or reimbursement and supporting documentation must show that RCDI fund usage does not exceed the cumulative amount of matching funds used.

Grant funds will be disbursed pursuant to relevant provisions of 2 CFR parts 200 and 400. See Section V, Application and Submission Information, for matching funds documentation and pre-award requirements.

The intermediary is responsible for demonstrating that matching funds are available and committed for a period of not less than the grant performance period to the RCDI proposal. Matching funds may be provided by the intermediary or a third party. Other Federal funds may be used as matching funds if authorized by statute and the purpose of the funds is an eligible RCDI purpose.

RCDI funds will be disbursed on an advance or reimbursement basis. Matching funds cannot be expended prior to execution of the RCDI Grant Agreement.

Applicants must provide matching funds in an amount at least equal to the amount of the Federal grant. Successful applications will be selected by the Agency for funding and will be awarded from funds appropriated for the RCDI program.

C. Other Program Requirements

1. The recipient and beneficiary, but not the intermediary, must be located in an eligible rural area. The physical location of the recipient's office that will be receiving the financial and technical assistance must be in an eligible rural area. If the recipient is a low-income community, the median household income of the area where the office is located must be at or below 80 percent of the State or national median household income, whichever is higher. The applicable Rural Development State Office can assist in determining the eligibility of an area.

A listing of Rural Development State Office contacts can be found at the following link: https://www.rd.usda.gov/files/CF_State_Office_Contacts.pdf. A map showing eligible rural areas can be found at the following link: <https://eligibility.sc.egov.usda.gov/eligibility/welcomeAction.do?pageAction=RBSmenu>.

2. RCDI grantees that have an outstanding grant over 3 years old, as of the application due date in this Notice, will not be eligible to apply for this round of funding. Grant and matching funds must be utilized in a timely manner to ensure that the goals and objectives of the program are met.

3. Individuals cannot be recipients.

4. The intermediary must provide a program of financial and technical assistance to the recipient.

5. The intermediary organization must have been legally organized for a minimum of three years and have at least three years prior experience working with private nonprofit community-based housing and development organizations, low-income rural communities, or tribal organizations in the areas of housing, community facilities, or community and economic development.

6. Proposals must be structured to utilize the grant funds within 3 years from the date of the award.

7. Each applicant, whether individually or jointly, may only submit one application for RCDI funds under this Notice. This restriction does not preclude the applicant from providing matching funds for other applications.

8. Recipients can benefit from more than one RCDI application; however, after grant selections are made, the recipient can only benefit from multiple RCDI grants if the type of financial and technical assistance the recipient will receive is not duplicative. The services described in multiple RCDI grant applications must have separate and identifiable accounts for compliance purposes.

9. The intermediary and the recipient cannot be the same entity. The recipient can be a related entity to the intermediary, if it meets the definition of a recipient, provided the relationship does not create a Conflict of Interest that cannot be resolved to Rural Development's satisfaction.

10. If the recipient is a low-income rural community, identify the unit of government to which the financial and technical assistance will be provided (e.g., town council or village board). The financial and technical assistance must be provided to the organized unit of government representing that community, not the community at large.

11. An intermediary proposing to serve one or more Federally recognized tribes must include a resolution of support with its application from the Tribes it proposes to serve. If the resolution of support is not submitted for each Tribe, the Tribe will be considered ineligible as a recipient. This requirement is being added to ensure

collaboration during the application process between intermediaries and all Tribes that they propose to serve.

D. Eligible Grant Purposes

Fund uses must be consistent with the RCDI purpose. Eligible purposes of grant funds include, but are not limited to, the following:

1. Provide technical assistance to develop recipients' capacity and ability to undertake projects related to housing, community facilities, or community and economic development, (e.g., the intermediary hires a staff person to provide technical assistance to the recipient or the recipient hires a staff person, under the supervision of the intermediary, to carry out the technical assistance provided by the intermediary).

2. Develop the capacity of recipients to conduct community development programs, (e.g., homeownership education or training for business entrepreneurs).

3. Develop the capacity of recipients to conduct development initiatives, (e.g., programs that support micro-enterprise and sustainable development).

4. Develop the capacity of recipients to increase their leveraging ability and access to alternative funding sources by providing training and staffing.

5. Develop the capacity of recipients to provide the technical assistance component for essential community facilities projects.

6. Assist recipients in completing pre-development requirements for housing, community facilities, or community and economic development projects by providing resources for professional services, (e.g., architectural, engineering, or legal).

7. Improve recipient's organizational capacity by providing training and resource material on developing strategic plans, board operations, management, financial systems, and information technology.

8. Purchase of computers, software, and printers, limited to \$10,000 per award, at the recipient level when directly related to the technical assistance program being undertaken by the intermediary.

9. Provide funds to recipients for training-related travel costs and training expenses related to RCDI.

E. Ineligible Fund Uses

The following is a list of ineligible uses of grant funds:

1. Pass-through grants, and any funds provided to the recipient in a lump sum that are not reimbursements.

2. Funding a revolving loan fund (RLF).

3. Construction (in any form).

4. Salaries for positions involved in construction, renovations, rehabilitation, and any oversight of these types of activities.

5. Intermediary preparation of strategic plans for recipients.

6. Funding prostitution, gambling, or any illegal activities.

7. Grants to individuals.

8. Funding a grant where there may be a conflict of interest, or an appearance of a conflict of interest, involving any action by the Agency.

9. Paying obligations incurred before the beginning date without prior Agency approval or after the ending date of the grant agreement.

10. Purchasing real estate.

11. Improvement or renovation of the grantee's or recipient's office space or for the repair or maintenance of privately-owned vehicles.

12. Any purpose prohibited in 2 CFR part 200 or 400.

13. Using funds for recipient's general operating costs.

14. Using grant or matching funds for Individual Development Accounts.

15. Purchasing vehicles.

F. Program Examples and Restrictions

The following are examples of eligible and ineligible purposes under the RCDI program. (These examples are illustrative and are not meant to limit the activities proposed in the application. Activities that meet the objectives of the RCDI program and meet the criteria outlined in this Notice will be considered eligible.)

1. The intermediary must work directly with the recipient, not the ultimate beneficiaries. For example:

The intermediary provides training and technical assistance to the recipients on developing and updating materials related to the prevention, treatment and recovery activities for opioid use disorder and ensures that high-quality training is provided to communities affected by the opioid epidemic.

2. The intermediary provides training to the recipient on how to conduct homeownership education classes. The recipient then provides ongoing homeownership education to the residents of the community—the ultimate beneficiaries. This “train the trainer” concept fully meets the intent of this initiative. The intermediary is providing technical assistance that will build the recipient's capacity by enabling it to conduct homeownership education classes for the public.

This is an eligible purpose. However, if the intermediary directly provided homeownership education classes to individuals in the recipient's service area, this would not be an eligible purpose because the recipient would be bypassed.

3. If the intermediary is working with a low-income community as the recipient, the intermediary must provide the technical assistance to the entity that represents the low-income community and is identified in the application. Examples of entities representing a low-income community are a village board or a town council.

If the intermediary provides technical assistance to the Board of the low-income community on how to establish a cooperative, this would be an eligible purpose. However, if the intermediary works directly with individuals from the community to establish the cooperative, this is not an eligible purpose.

The recipient's capacity is built by learning skills that will enable it to support sustainable economic development in its community on an ongoing basis.

4. The intermediary may provide technical assistance to the recipient on how to create and operate a revolving loan fund. The intermediary may not monitor or operate the revolving loan fund. RCDI funds, including matching funds, cannot be used to fund revolving loan funds.

5. The intermediary may work with recipients to build their capacity to provide planning and leadership development training. The recipients of this training would be expected to assume leadership roles in the development and execution of regional strategic plans. The intermediary would work with multiple recipients in helping communities recognize their connections to the greater regional and national economies.

6. The intermediary could provide training and technical assistance to the recipients on developing emergency shelter and feeding, short-term housing, search and rescue, and environmental accident, prevention, and cleanup program plans. For longer term disaster and economic crisis responses, the intermediary could work with the recipients to develop job placement and training programs and develop coordinated transit systems for displaced workers.

V. Application and Submission Information

A. Address To Request Application Package

Entities wishing to apply for assistance may download the application documents and requirements delineated in this Notice from the RCDI website: <https://www.rd.usda.gov/programs-services/>

community-facilities/rural-community-development-initiative-grants.

Application information for electronic submissions may be found at <https://www.grants.gov>.

Applicants may also request paper application packages from the Rural Development office in their state. A list of Rural Development State office contacts can be found via https://www.rd.usda.gov/files/CF_State_Office_Contacts.pdf. You may also obtain a copy by calling 202-205-9685.

B. Content and Form of Application Submission

If the applicant is ineligible or the application is incomplete, the Agency will inform the applicant in writing of the decision, reasons therefore, and its appeal rights and no further evaluation of the application will occur.

A complete application for RCDI funds must include the following:

1. A summary page, double-spaced between items, listing the following: (This information should not be presented in narrative form.)

- a. Applicant's name,
- b. Applicant's address,
- c. Applicant's telephone number,
- d. Name of applicant's contact person, email address and telephone number,
- e. County where applicant is located,
- f. Congressional district number where applicant is located,
- g. Amount of grant request, and
- h. Number of recipients.

2. A detailed Table of Contents containing page numbers for each component of the application.

3. A project overview, no longer than one page, including the following items, which will also be addressed separately and in detail under "Building Capacity and Expertise" of the "Evaluation Criteria."

- a. The type of technical assistance to be provided to the recipients and how it will be implemented.
- b. How the capacity and ability of the recipients will be improved.
- c. The overall goals to be accomplished.
- d. The benchmarks to be used to measure the success of the program. Benchmarks should be specific and quantifiable.

4. Organizational documents, such as a certificate of incorporation and a current good standing certification from the Secretary of State where the applicant is incorporated and other similar and valid documentation of current status, from the intermediary that confirms it has been legally organized for a minimum of three years as the applicant entity.

5. Verification of source and amount of matching funds, (e.g., a copy of a

complete bank statement if matching funds are in cash or a copy of the confirmed funding commitment from the funding source).

The verification must show that matching funds are available for the duration of the grant performance period. The verification of matching funds must be submitted with the application, or the application will be considered incomplete.

The applicant will be contacted by the Agency prior to grant award to verify that the matching funds provided with the application continue to be available. The applicant will have 15 days from the date contacted to submit verification that matching funds continue to be available.

If the applicant is unable to provide the verification within that timeframe, the application will be considered ineligible. The applicant must maintain bank statements on file or other documentation for a period of at least three years after grant closing except that the records shall be retained beyond the three-year period if audit findings have not been resolved.

6. The following information for each recipient:

- a. Recipient's entity name,
- b. Complete address (mailing and physical location, if different),
- c. County where located,
- d. Number of Congressional district where recipient is located,
- e. Contact person's name, email address and telephone number and,
- f. Form RD 400-4, "Assurance Agreement." If the Form RD 400-4 is not submitted for each recipient, the recipient will be considered ineligible. No information pertaining to that recipient will be included in the income or population scoring criteria and the requested funding may be adjusted due to the deletion of the recipient.

7. Submit evidence that each recipient entity is eligible. Documentation must be submitted to verify recipient eligibility. Acceptable documentation varies depending on the type of recipient:

- a. Nonprofits—provide a current valid letter confirming non-profit status from the Secretary of State of the State of incorporation, a current good standing certification from the Secretary of State of the State of incorporation, or other valid documentation of current nonprofit status of each recipient.

A nonprofit recipient must provide evidence that it is a valid nonprofit when the intermediary applies for the RCDI grant. Organizations with pending requests for nonprofit designations are not eligible.

b. Low-income rural community—provide evidence the entity is a public body (e.g., copy of Charter, relevant Acts of Assembly, relevant court orders (if created judicially) or other valid documentation), a copy of the 2010 census data to verify the population, and 2010 American Community Survey (ACS) 5-year estimates (2006–2010 data set) data as evidence that the median household income is at, or below, 80 percent of either the State or national median household income. We will only accept data and printouts from <https://data.census.gov/cedsci/>.

c. Federally recognized Tribes—provide the page listing their name from the **Federal Register** list of tribal entities published most recently by the Bureau of Indian Affairs. The 2021 list is available at 86 FR 7554, pages 7554–7558 at the following link: <https://www.govinfo.gov/content/pkg/FR-2021-01-29/pdf/2021-01606.pdf>. For Tribes that received federal recognition after the most recent publication, statutory citations and additional documentation may suffice.

An intermediary proposing to serve one or more Federally recognized tribes must include a resolution of support with its application from the Tribes it proposes to serve. If the resolution of support is not submitted for each Tribe, the Tribe will be considered ineligible as a recipient. This requirement is being added to ensure collaboration during the application process between intermediaries and all Tribes that they propose to serve.

8. Each of the "Evaluation Criteria" must be addressed specifically and individually by category. Present these criteria in narrative form. Narrative (not including attachments) must be limited to five pages per criterion. The "Population and Income" criteria for recipient locations can be provided in the form of a list; however, the source of the data must be included on the page(s).

9. A timeline identifying specific activities and proposed dates for completion.

10. A detailed project budget that includes the RCDI grant amount and matching funds. This should be a line-item budget, by category. Categories such as salaries, administrative, other, and indirect costs that pertain to the proposed project must be clearly defined. Supporting documentation listing the components of these categories must be included. The budget should be dated: Year 1, year 2, and year 3, as applicable.

11. The indirect cost category in the project budget should be used only when a grant applicant has a federally

negotiated indirect cost rate. A copy of the current rate agreement must be provided with the application. Non-federal entities that have never received a negotiated indirect cost rate, except for those non-Federal entities described in Appendix VII to Part 200-States and Local Government and Indian Tribe Indirect Cost Proposals, paragraph (d)(1)(B), may use the de minimis rate of 10 percent of modified total direct costs (MTDC).

12. Form SF-424, "Application for Federal Assistance."

(Do not complete Form SF-424A, "Budget Information." A separate line-item budget should be presented as described in Letter (j) of this section.)

13. Certification of Non-Lobbying Activities.

14. Standard Form LLL, "Disclosure of Lobbying Activities," if applicable.

Applicants must collect and maintain data provided by recipients on race, sex, and national origin and ensure Ultimate Recipients collect and maintain this data. Race and ethnicity data will be collected in accordance with OMB **Federal Register** notice, "Revisions to the Standards for the Classification of Federal Data on Race and Ethnicity" (62 FR 58782), October 30, 1997. Sex data will be collected in accordance with Title IX of the Education Amendments of 1972. These items should not be submitted with the application but should be available upon request by the Agency.

The applicant and the recipient must comply with Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, the Americans with Disabilities Act (ADA), Section 504 of the Rehabilitation Act of 1973, Age Discrimination Act of 1975, Executive Order 12250, Executive Order 13166 Limited English Proficiency (LEP), and 7 CFR part 1901, subpart E.

15. Identify and report any association or relationship with Rural Development employees. (A statement acknowledging whether or not a relationship exists is required.)

C. Unique Entity Identifier (UEI)
(Formerly the Dun and Bradstreet Data Universal Numbering System (DUNS)) and System for Awards Management (SAM)

In order to register with System for Award Management (SAM), your organization will need an UEI number. Be sure to complete the Marketing Partner ID (MPID) and Electronic Business Primary Point of Contact fields during the SAM registration process.

Grant applicants must obtain an UEI number and register in the SAM System prior to submitting an application

pursuant to 2 CFR 25.200(b). In addition, an entity applicant must maintain registration in SAM at all times during which it has an active Federal award or an application or plan under consideration by the Agency. The applicant must ensure that the information in the database is current, accurate, and complete. Applicants must ensure they complete the Financial Assistance General Certifications and Representations in SAM. Similarly, all recipients of Federal financial assistance are required to report information about first-tier subawards and executive compensation in accordance with 2 CFR part 170. So long as an entity applicant does not have an exception under 2 CFR 170.110(b), the applicant must have the necessary processes and systems in place to comply with the reporting requirements should the applicant receive funding. See 2 CFR 170.200(b).

An applicant, unless excepted under 2 CFR 25.110(b), (c), or (d), is required to:

1. Be registered in SAM before submitting its application;
2. Provide a valid UEI number in its application; and
3. Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

The Federal awarding agency (RHS) may not make a federal award to an applicant until the applicant has complied with all applicable UEI and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

As required by the Office of Management and Budget (OMB), all grant applications must provide an UEI number when applying for Federal grants.

Organizations can receive an UEI number at no cost by calling the dedicated toll-free number at 1-866-705-5711 or via internet at <https://fedgov.dnb.com/webform>. Additional information concerning this requirement can be obtained on the *Grants.gov* website at <https://www.grants.gov>. Similarly, applicants may register for SAM at <https://sam.gov> or by calling 1-866-606-8220.

The applicant must provide documentation that it is registered in

SAM and include its UEI number. If the applicant does not provide documentation confirming that it is registered in SAM and its UEI number, the application will not be considered for funding. The required forms and certifications can be downloaded from the RCDI website at: <https://www.rd.usda.gov/programs-services/community-facilities/rural-community-development-initiative-grants>.

D. Submission Dates and Times

In order to register with System for Award Management (SAM), your organization will need an UEI number. Be sure to complete the Marketing Partner ID (MPID) and Electronic Business Primary Point of Contact fields during the SAM registration process.

The deadline for receipt of a paper application is 4 p.m. local time, April 25, 2022. The deadline for electronic applications via *Grants.gov* is 11:59 p.m. Eastern time on April 19, 2022. The application dates and times are firm. The Agency will not consider any application received after the deadline. You may submit your application in paper form or electronically through *Grants.gov*. Applicants intending to mail applications must provide sufficient time to permit delivery on or before the closing deadline date and time. Acceptance by the United States Postal Service or private mailer does not constitute delivery. Facsimile (FAX), electronic mail, and postage due applications will not be accepted.

To submit a paper application, the original application package must be submitted to the Rural Development State Office where the applicant's headquarters is located.

A listing of Rural Development State Offices contacts can be found via https://www.rd.usda.gov/files/CF_State_Office_Contacts.pdf.

Applications will not be accepted via FAX or electronic mail. Applicants may file an electronic application at <https://www.grants.gov>. *Grants.gov* contains full instructions on all required passwords, credentialing, and software. Follow the instructions at *Grants.gov* for registering and submitting an electronic application. If a system problem or technical difficulty occurs with an electronic application, please use the customer support resources available at the *Grants.gov* website.

Technical difficulties submitting an application through *Grants.gov* will not be a reason to extend the application deadline. If an application is unable to be submitted through *Grants.gov*, a paper application must be received in the appropriate Rural Development

State Office by the deadline noted previously.

First time *Grants.gov* users should carefully read and follow the registration steps listed on the website. These steps need to be initiated early in the application process to avoid delays in submitting your application online.

There are mandatory fields that are required when submitting grant applications through *Grants.gov*. Additional application instructions for submitting an electronic application can be found by selecting this funding opportunity on *Grants.gov*.

E. Funding Restrictions

In accordance with 31 U.S.C. 1345, “Expenses of Meetings,” appropriations may not be used for travel, transportation, and subsistence expenses for a meeting. RCDI grant funds cannot be used for these meeting-related expenses. Matching funds may, however, be used to pay for these expenses.

RCDI funds may be used to pay for a speaker as part of a program, equipment to facilitate the program, and the actual room that will house the meeting.

RCDI funds cannot be used for meetings; they can, however, be used for travel, transportation, or subsistence expenses for program-related training and technical assistance purposes. Any training not delineated in the application must be approved by the Agency to verify compliance with 31 U.S.C. 1345. Travel and per diem expenses (including meals and incidental expenses) will be allowed in accordance with 2 CFR parts 200 and 400.

VI. Application Review Information

A. Evaluation Criteria

1. Applications will be evaluated using the following criteria and weights:

a. Building Capacity and Expertise—Maximum 40 Points

The applicant must demonstrate how they will improve the recipients’ capacity, through a program of financial and technical assistance, as it relates to the RCDI purposes.

Capacity-building financial and technical assistance should provide new functions to the recipients or expand existing functions that will enable the recipients to undertake projects in the areas of housing, community facilities, or community and economic development that will benefit the community. Capacity-building financial and technical assistance may include, but is not limited to: Training to conduct community development

programs, (e.g., homeownership education, or the establishment of minority business entrepreneurs, cooperatives, or micro-enterprises); organizational development, (e.g., assistance to develop or improve board operations, management, and financial systems); instruction on how to develop and implement a strategic plan; instruction on how to access alternative funding sources to increase leveraging opportunities; staffing, (e.g., hiring a person at intermediary or recipient level to provide technical assistance to recipients).

The program of financial and technical assistance that is to be provided, its delivery, and the measurability of the program’s effectiveness will determine the merit of the application.

All applications will be competitively ranked with the applications providing the most improvement in capacity development and measurable activities being ranked the highest.

The narrative response must contain the following items. This list also contains the points for each item.

- (1) Describe the nature of financial and technical assistance to be provided to the recipients and the activities that will be conducted to deliver the technical assistance (10 Points).
- (2) Explain how financial and technical assistance will develop or increase the recipient’s capacity. Indicate whether a new function is being developed or if existing functions are being expanded or performed more effectively (7 Points).
- (3) Identify which RCDI purpose areas will be addressed with this assistance: Housing, community facilities, or community and economic development (3 Points).
- (4) Describe how the results of the technical assistance will be measured. What benchmarks will be used to measure effectiveness? Benchmarks should be specific and quantifiable (5 Points).
- (5) Demonstrate that the applicant/intermediary has conducted programs of financial and technical assistance and achieved measurable results in the areas of housing, community facilities, or community and economic development in rural areas (10 Points).
- (6) Provide in a chart or excel spreadsheet, the organization name, point of contact, address, phone number, email address, and the type and amount of the financial and technical assistance the applicant organization has provided to the following for the last 3 years (5 Points).

(a) Nonprofit organizations in rural areas.

(b) Low-income communities in rural areas (also include the type of entity, e.g., city government, town council, or village board).

(c) Federally recognized Tribes or any other culturally diverse organizations.

b. Soundness of Approach—Maximum 15 Points

The applicant can receive up to 15 points for soundness of approach. The overall proposal will be considered under this criterion.

The maximum 15 points for this criterion will be based on the following:

- (1) The proposal fits the objectives for which applications were invited, is clearly stated, and the applicant has defined how this proposal will be implemented (7 Points).
- (2) The ability to provide the proposed financial and technical assistance based on prior accomplishments (6 Points).
- (3) Cost effectiveness will be evaluated based on the budget in the application. The proposed grant amount and matching funds should be utilized to maximize capacity building at the recipient level (2 Points).

c. Population and Income—Maximum 15 Points

Population is based on the average population from the 2010 census data for the communities in which the recipients are located. The physical address, not mailing address, for each recipient must be used for this criterion. Community is defined for scoring purposes as a city, town, village, county, parish, borough, Indian reservation or census-designated place where the recipient’s office is physically located.

The applicant must submit the census data from the following website in the form of a printout to verify the population figures used for each recipient. The data can be accessed on the internet at <https://data.census.gov/cedsci/>. Enter location, P1 (i.e., Parma, Idaho, P1) and click “search”; the name and population data for each recipient location must be listed in this section.

The average population of the recipient locations will be used and will be scored as follows:

Population	Scoring (points)
10,000 or less	5
10,001 to 20,000	4
20,001 to 30,000	3
30,001 to 40,000	2
40,001 to 50,000	1

The average of the median household income for the communities where the recipients are physically located will

determine the points awarded. The physical address, not mailing address, for each recipient must be used for this criterion. Applicants may compare the average recipient median household income to the State median household income or the national median household income, whichever yields the most points. The national median household income to be used is \$51,914.

The applicant must submit the income data in the form of a printout of the applicable information from the following website to verify the income for each recipient. The data being used is from the 2010 American Community Survey (ACS) 5-year estimates (2006–2010 data set). The data can be accessed on the internet at <https://data.census.gov/cedsci/>; enter location, S1903 (*i.e.*, Parma, Idaho, S1903), click on “Search,” click the drop-down button and select the 2010 ACS–5-year estimates table the name and income data for each recipient location must be listed in this section (use the Household and Median Income column). Points will be awarded as follows:

Average recipient median income	Scoring (points)
Less than or equal to 70 percent of state or national median household income	10
Greater than 70, but less than or equal to 80 percent of state or national median household income	5
In excess of 80 percent of state or national median household income	0

d. State Director’s Points Based on Project Merit—Maximum 10 Points

(1) This criterion will be addressed by the Agency, not the applicant.

(2) The State Director may award up to 10 discretionary points for the highest priority project in each state, up to 7 points for the second highest priority project in each state and up to 5 points for the third highest priority project.

These points may be awarded to applicants proposing to advance any or all of the Agency’s three key funding priorities, provided that all other requirements set forth in this notice are otherwise met. The key priorities are:

(i) COVID–19 Impacts (up to 4 points); Priority points may be awarded if the project is located in or serving one of the top 10% of counties or county equivalents based upon county risk score in the United States. Information on whether your project qualifies for priority points can be found at the following website: <https://www.rd.usda.gov/priority-points>.

(ii) Equity (up to 3 points); Priority points may be awarded if the project is located in or serving a community with a score of 0.75 or above on the CDC Social Vulnerability Index. Information on whether your project qualifies for priority points can be found at the following website: <https://www.rd.usda.gov/priority-points>.

(iii) Climate Impacts (up to 3 points); Priority points may be awarded if the project is located in or serving coal, oil and gas, and power plant communities whose economic well-being ranks in the most distressed tier of the Distressed Communities Index. Information on whether your project qualifies for priority points can be found at the following website: <https://www.rd.usda.gov/priority-points>.

(3) These points may be awarded by the Rural Development State Director to any application(s) that benefits their State regardless of whether the applicant is headquartered in their State.

(4) When an intermediary submits an application that will benefit a State that is not the same as the State in which the intermediary is headquartered, it is the intermediary’s responsibility to notify the State Director of the State which is receiving the benefit of its application. In such cases, State Directors awarding points to applications benefiting their state must notify the reviewing State in writing.

(5) Assignment of any points under this criterion requires a written justification and must be tied to and awarded based on how closely the application aligns with the Rural Development State Office’s strategic goals.

e. Administrator Discretionary Points—Maximum 20 Points

The Administrator may award up to 20 discretionary points for projects to address items such as geographic distribution of funds, emergency conditions caused by economic problems, natural disasters and other initiatives identified by the Secretary. The Administrator may also award points to any application that will advance the following key priorities:

- *COVID–19 Impacts:* Priority points may be awarded if the project is located in or serving one of the top 10% of counties or county equivalents based upon county risk score in the United States. Information on whether your project qualifies for priority points can be found at the following website: <https://www.rd.usda.gov/priority-points>.

- *Equity:* Priority points may be awarded if the project is located in or serving a community with score 0.75 or

above on the CDC Social Vulnerability Index. Information on whether your project qualifies for priority points can be found at the following website: <https://www.rd.usda.gov/priority-points>.

- *Climate Impacts:* Priority points may be awarded if the project is located in or serving coal, oil and gas, and power plant communities whose economic well-being ranks in the most distressed tier of the Distressed Communities Index. Information on whether your project qualifies for priority points can be found at the following website: <https://www.rd.usda.gov/priority-points>.

B. Review and Selection Process

1. Rating and Ranking

If requests exceed funds available, the applications will be rated and ranked on a national basis by a review panel based on the “Evaluation Criteria” contained in this Notice.

If there is a tied score after the applications have been rated and ranked, the tie will be resolved by reviewing the scores for “Building Capacity and Expertise” and the applicant with the highest score in that category will receive a higher ranking. If the scores for “Building Capacity and Expertise” are the same, the scores will be compared for the next criterion, in sequential order, until one highest score can be determined.

2. Initial Screening

The Agency will screen each application to determine eligibility during the period immediately following the application deadline. Listed below are examples of reasons for rejection from previous funding rounds. The following reasons for rejection are not all inclusive; however, they represent the majority of the applications previously rejected.

a. Recipients were not located in eligible rural areas based on the definition in this Notice.

b. Applicants failed to provide evidence of recipient’s status, *i.e.*, documentation supporting nonprofit evidence of organization.

c. Applicants failed to provide evidence of committed matching funds or matching funds were not committed for a period at least equal to the grant performance period.

d. Application did not follow the RCDI structure with an intermediary and recipients.

e. Recipients were not identified in the application.

f. Intermediary did not provide evidence it had been incorporated for at least three years as the applicant entity.

g. Applicants failed to address the "Evaluation Criteria."

h. The purpose of the proposal did not qualify as an eligible RCDI purpose.

i. Inappropriate use of funds (e.g., construction or renovations).

j. The applicant proposed providing financial and technical assistance directly to individuals.

k. The application package was not received by closing date and time.

VII. Federal Award Administration Information

A. Federal Award Notice

Within the limit of funds available for such purpose, the awarding official of the Agency shall make grants in ranked order to eligible applicants under the procedures set forth in this Notice.

Successful applicants will receive a selection letter by mail containing instructions on requirements necessary to proceed with execution and performance of the award. This letter is not an authorization to begin performance. In addition, selected applicants will be requested to verify that components of the application have not changed at the time of selection and on the award obligation date, if requested by the Agency.

The award is not approved until all information has been verified, and the awarding official of the Agency has signed Form RD 1940-1, "Request for Obligation of Funds" and the grant agreement.

Unsuccessful applicants will receive notification, including notification of appeal rights, by mail.

B. Administrative and National Policy Requirements

Grantees will be required to do the following:

1. Execute a Rural Community Development Initiative Grant Agreement.

2. Execute Form RD 1940-1, "Request for Obligation of Funds."

3. Use Form SF 270, "Request for Advance or Reimbursement," to request reimbursements. Provide receipts for expenditures, timesheets and any other documentation to support the request for reimbursement.

4. Provide financial status and project performance reports on a quarterly basis starting with the first full quarter after the grant award.

5. Maintain a financial management system that is acceptable to the Agency.

6. Ensure that records are maintained to document all activities and expenditures utilizing RCDI grant funds and matching funds. Receipts for expenditures will be included in this documentation.

7. Provide annual audits or management reports on Form RD 442-2, "Statement of Budget, Income and Equity," and Form RD 442-3, "Balance Sheet," depending on the amount of Federal funds expended and the outstanding balance.

8. Collect and maintain data provided by recipients on race, sex, and national origin and ensure recipients collect and maintain the same data on beneficiaries. Race and ethnicity data will be collected in accordance with OMB **Federal Register** notice, "Revisions to the Standards for the Classification of Federal Data on Race and Ethnicity," (62 FR 58782), October 30, 1997. Sex data will be collected in accordance with Title IX of the Education Amendments of 1972. These items should not be submitted with the application but should be available upon request by the Agency.

9. Provide a final project performance report.

10. Identify and report any association or relationship with Rural Development employees.

11. The intermediary and recipient must comply with Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Section 504 of the Rehabilitation Act of 1973, Executive Order 12250, Age Act of 1975, Executive Order 13166 Limited English Proficiency, and 7 CFR part 1901, subpart E.

12. The grantee must comply with policies, guidance, and requirements as described in the following applicable Code of Federal Regulations, and any successor regulations:

a. 2 CFR parts 200 and 400 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards).

b. 2 CFR parts 417 and 180 (Government-wide Debarment and Suspension (Nonprocurement)).

C. Reporting

After grant approval and through grant completion, you will be required to provide the following, as indicated in the Grant Agreement:

1. SF-425, "Federal Financial Report" and SF-PPR, "Performance Progress Report" will be required on a quarterly basis (due 30 working days after each calendar quarter). The Performance Progress Report shall include the elements described in the grant agreement.

2. Final financial and performance reports will be due 90 calendar days after the period of performance end date.

3. A summary at the end of the final report with elements as described in the

grant agreement to assist in documenting the annual performance goals of the RCDI program for Congress.

VIII. Federal Awarding Agency Contact

Contact the Rural Development office in the State where the applicant's headquarters is located. A list of Rural Development State Offices contacts can be found via https://www.rd.usda.gov/files/CF_State_Office_Contacts.pdf.

IX. Other Information

No reimbursement will be made for any funds expended prior to execution of the RCDI Grant Agreement unless the intermediary is a non-profit or educational entity and has requested and received written Agency approval of the costs prior to the actual expenditure.

This exception is applicable for up to 90 days prior to grant closing and only applies to grantees that have received written approval but have not executed the RCDI Grant Agreement.

The Agency cannot retroactively approve reimbursement for expenditures prior to execution of the RCDI Grant Agreement.

Paperwork Reduction Act

The paperwork burden has been approved by the Office of Management and Budget (OMB) under OMB Control Number 0575-0180.

National Environmental Policy Act

In accordance with the National Environmental Policy Act of 1969, Public Law 91-190, this funding announcement has been reviewed in accordance with 7 CFR part 1970 ("Environmental Policies and Procedures"). The Agency has determined that (i) this action meets the criteria established in 7 CFR 1970.53(f); (ii) no extraordinary circumstances exist; and (iii) the action is not "connected" to other actions with potentially significant impacts, is not considered a "cumulative action" and is not precluded by 40 CFR 1506.1. Therefore, the Agency has determined that the action does not have a significant effect on the human environment, and therefore neither an Environmental Assessment nor an Environmental Impact Statement is required.

All recipients under this Notice are subject to the requirements of 7 CFR part 1970. However, awards for financial and technical assistance under this Notice are classified as a Categorical Exclusion according to 7 CFR 1970.53(b), and usually do not require any additional documentation.

Non-Discrimination Statement

In accordance with Federal civil rights laws and U.S. Department of Agriculture (USDA) civil rights regulations and policies, the USDA, its Mission Areas, agencies, staff offices, employees, and institutions participating in or administering USDA programs are prohibited from discriminating based on race, color, national origin, religion, sex, gender identity (including gender expression), sexual orientation, disability, age, marital status, family/parental status, income derived from a public assistance program, political beliefs, or reprisal or retaliation for prior civil rights activity, in any program or activity conducted or funded by USDA (not all bases apply to all programs). Remedies and complaint filing deadlines vary by program or incident.

Program information may be made available in languages other than English. Persons with disabilities who require alternative means of communication to obtain program information (e.g., Braille, large print, audiotope, American Sign Language) should contact the responsible Mission Area, agency, or staff office; the USDA TARGET Center at (202) 720-2600 (voice and TTY); or the Federal Relay Service at (800) 877-8339.

To file a program discrimination complaint, a complainant should complete a Form AD-3027, *USDA Program Discrimination Complaint Form*, which can be obtained online at <https://www.ocio.usda.gov/document/ad-3027>, from any USDA office, by calling (866) 632-9992, or by writing a letter addressed to USDA. The letter must contain the complainant's name, address, telephone number, and a written description of the alleged discriminatory action in sufficient detail to inform the Assistant Secretary for Civil Rights (ASCR) about the nature and date of the alleged civil rights violation. The completed AD-3027 form or letter must be submitted to USDA by:

1. *Mail*: U.S. Department of Agriculture, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue SW, Washington, DC 20250-9410; or
2. *Fax*: (833) 256-1665 or (202) 690-7442; or
3. *Email*: program.intake@usda.gov

USDA is an equal opportunity provider, employer, and lender.

Joaquin Altoro,

Administrator, Rural Housing Service.

[FR Doc. 2022-02624 Filed 2-7-22; 8:45 am]

BILLING CODE 3410-XV-P

DEPARTMENT OF COMMERCE**Bureau of Industry and Security****Emerging Technology Technical Advisory Committee; Notice of Partially Closed Meeting**

The Emerging Technology Technical Advisory Committee (ETTAC) will meet on February 23, 2022, at 11:00 a.m., Eastern Standard Time. The meeting will be available via teleconference. The Committee advises the Office of the Assistant Secretary for Export Administration on the identification of emerging and foundational technologies with potential dual-use applications as early as possible in their developmental stages both within the United States and abroad.

Agenda*Open Session*

1. Welcome and Introductions.
2. Introduction by the Bureau of Industry and Security Leadership.
3. Presentation: Space Telescope and Society (NASA), Questions and Answers
4. Public Comments/Announcements

Closed Session

5. Discussion of matters determined to be exempt from the provisions relating to public meetings found in 5 U.S.C. app. 10(a)(1) and 10(a)(3).

The open session will be accessible via teleconference. To join the conference, submit inquiries to Ms. Yvette Springer at Yvette.Springer@bis.doc.gov no later than February 16, 2022.

To the extent time permits, members of the public may present oral statements to the Committee. The public may submit written statements at any time before or after the meeting. However, to facilitate distribution of public presentation materials to Committee members, the Committee suggests that presenters forward the public presentation materials prior to the meeting to Ms. Springer via email.

The Assistant Secretary for Administration, with the concurrence of the delegate of the General Counsel, formally determined on February 1, 2022, pursuant to Section 10(d) of the Federal Advisory Committee Act, as amended (5 U.S.C. app. 10(d)), that the portion of the meeting dealing with pre-decisional changes to the Commerce Control List and the U.S. export control policies shall be exempt from the provisions relating to public meetings found in 5 U.S.C. app. §§ 10(a)(1) and 10(a)(3). The remaining portions of the meeting will be open to the public.

For more information, please contact Yvette Springer via email.

Yvette Springer,

Committee Liaison Officer.

[FR Doc. 2022-02530 Filed 2-7-22; 8:45 am]

BILLING CODE 3510-JT-P

DEPARTMENT OF COMMERCE**International Trade Administration**

[C-533-909]

Barium Chloride From India: Initiation of Countervailing Duty Investigation

AGENCY: Enforcement and Compliance, International Trade Administration, Department of Commerce.

DATES: Applicable February 1, 2022.

FOR FURTHER INFORMATION CONTACT:

Tyler Weinhold at (202) 482-1221 and Harrison Tanchuck at (202) 482-7301, AD/CVD Operations, Enforcement and Compliance, International Trade Administration, U.S. Department of Commerce, 1401 Constitution Avenue NW, Washington, DC 20230.

SUPPLEMENTARY INFORMATION:**The Petition**

On January 12, 2022, the U.S. Department of Commerce (Commerce) received a countervailing duty (CVD) petition concerning imports of barium chloride from India, filed in proper form on behalf of Chemical Products Corporation (the petitioner), a domestic producer of barium chloride.¹ The Petition was accompanied by an antidumping duty (AD) petition concerning imports of barium chloride from India.²

On January 14 and 19, 2022, Commerce requested supplemental information pertaining to certain aspects of the Petition.³ The petitioner filed responses to these requests on January 19 and 24, 2022.⁴

In accordance with section 702(b)(1) of the Tariff Act of 1930, as amended

¹ See Petitioner's Letter, "Petitions for the Imposition of Antidumping and Countervailing Duties on Barium Chloride from India," dated January 12, 2022 (the Petition).

² *Id.*

³ See Commerce's Letters, "Petitions for the Imposition of Antidumping and Countervailing Duties on Imports of Barium Chloride from India: Supplemental Questions," dated January 14, 2022; and "Petition for the Imposition of Countervailing Duties on Imports of Barium Chloride from India: Supplemental Questions," dated January 19, 2021.

⁴ See Petitioner's Letters, "Barium Chloride from India: Response to Supplemental Questionnaire on Volume I of the Petition (General Issues and Injury Information)," dated January 19, 2022 (General Issues Supplement); and "Barium Chloride from India: Response to Supplemental Questions," dated January 24, 2022.

Section G – Discussion/Updates

Contract – Professional Consulting Services

To: Regional Housing Alliance Board

From: Jessica Laitsch

Date: March 3, 2022

A draft contract and scope of work has been submitted to the consulting team of Cappelli Consulting/Project Moxie. The consulting team has requested a meeting with the hiring committee to finalize the scope and other contract negotiations. Staff will be coordinating the meeting to be held within the next week or two.

The consulting team has been invited to attend the March RHA meeting to meet the Board.

Board Member-at-Large Applications

To: Regional Housing Alliance Board

From: Jessica Laitsch

Date: March 3, 2022

The RHA Board directed staff develop a public application process seeking a Board Member-at-Large. Applications were requested to be returned by Friday, February 25, 2022. As of the time of publication of this packet, five applications had been received, the applications are attached. Staff is seeking direction from the Board regarding next steps, for example establishment of an interview committee and scheduling any interviews.



**Regional Housing Alliance of La Plata County
Board of Directors Application**

Name: Logan Austin

(HOME)

(WORK)

Address: [Redacted]
Phone: [Redacted]
E-mail: [Redacted]

At which address do you prefer to receive mail: [Redacted]
At which number do you prefer to receive calls: [Redacted]
At which address do you prefer to receive e-mail: [Redacted]

Current Occupation

Real Estate Broker with Keller Williams Realty Southwest Associates, LLC

Educational Experience

- Fort Lewis College, Bachelors of Business Administration
- Real Estate Business Institute, Seller Representative Specialist
- Keller Williams Realty, Leadership Trainings

Past or Present Work and/or Volunteer Experience Pertinent to the Regional Housing Alliance Board of Directors Position

- Durango Area Association of Realtors (DAAR) Board Member for the past 5 years.
- DAAR Government Affairs Committee Member, focus on local advocacy and civil engagement.
- Colorado Association of Realtors (CAR) Director for the past 2 years. State level conversations are dominated by housing challenges throughout Colorado.
- Keller Williams Realty Associate Leadership Council Member, a key focus is the current lack of inventory and the challenge community members face when trying to secure housing.
- Principal of an ongoing project in San Juan County, currently working with local and state government agencies to provide community benefit and address housing shortage.

Why are you interested in the Regional Housing Alliance Board of Directors Position?

If our area is going to thrive and grow in a sustainable manner, providing attainable housing opportunities is a key factor in maintaining a healthy socioeconomic mix in our community. Working in the real estate industry I experience the growing need for economic diversity and housing throughout SW Colorado. I have worked with many clients that have utilized state and local programs, such as the Homes Fund, that share RHA's goal of expanding housing opportunities in our area and want to ensure these remain available while innovating more.

What would you like to accomplish while serving on Regional Housing Alliance Board of Directors?

- Assist in developing actionable strategies to increase attainable housing opportunities.
- Utilize my personal and industry network to help RHA form key private / public partnerships to implement projects.
- Create strategies to secure state and federal funds that RHA can leverage to develop attainable housing projects.
- Provide real estate guidance and perspective to RHA for current and future projects.

Would serving on this Board cause any conflict of interest with your current occupation?

I do not foresee any conflicts of interest. Having a member of the real estate industry can help address public misconception that brokers, developers and builders are driving the housing issues in our community. In reality, the real estate industry has been a leader in pursuing solutions that provide value add projects with a community benefit.

Would you represent any specific segment of the community that has an interest in the activities of this Board or Commission?

Currently, I represent area and state realtors serving in DAAR and CAR. Being a 20+ year Durango local and Fort Lewis College Alumni with a background ranging from tourist, service worker, to a young career professional, I can speak to challenges community members face. Including local business owners facing employment issues and buyers trying their best to secure housing.

Are you currently serving on any other local Board or Commission? If so, please list.

- Durango Area Association of Realtors (DAAR) Board Member
- DAAR Government Affairs Committee Member
- Colorado Association of Realtors (CAR) Director
- DATO Marketing Committee Member

[Redacted Signature]

02/24/2022

(Signature)

(Date)

Please return this application to the Regional Housing Alliance of La Plata County by email at info@swccog.org. Your application will be kept on file for one (1) year in case additional vacancies occur. If you have any questions or need additional information concerning this application, please call 970-759-4134.

Equal Opportunity Statement
The Regional Housing Alliance does not discriminate on the basis of race, color, national origin, sex, religion, age, or disability in employment or the provision of services.



REGIONAL LA
HOUSING PLATA
ALLIANCE COUNTY

**Regional Housing Alliance of La Plata County
Board of Directors Application**

Name: A.L. (Larry) GARDNER

(HOME)

(WORK)

Address:

Phone:

E-mail:

At which address do you prefer to receive mail:

At which number do you prefer to receive calls:

At which address do you prefer to receive e-mail:

Current Occupation

Reactor

Educational Experience

please see attached Resume

Past or Present Work and/or Volunteer Experience Pertinent to the Regional Housing Alliance Board of Directors Position

H. Lawrence Gardner, Jr.

EXPERIENCE

Nov 2011 - Present

Keller Williams Realty Southwest Associates, Durango, CO
Broker Associate/Realtor—specializing in Commercial/Investment
and Development Properties and Unique Residences in SW CO.

2007 - 2011

Prudential Triple S Realty, Durango CO
Broker Associate /Realtor

2006 -2007

The Gardner Group at Bobbie Carll Realty
Specializing in Commercial/Investment and Development properties.

2001 - 2006

Bobbie Carll Realty, LLC
Broker Associate (Colorado Real Estate License NF40022393)
Specializing in commercial and residential real property marketing.

1997 - 2000

Bellmeade Capital Partners, LLC
Director of Development
Creator of publicly-traded entities through consolidation of existing
companies in selected industries. Bellmeade's goal was to create public
companies capable of growing annual earnings in excess of 25%. The
consolidations may take place concurrently with a public equity offering or
by means of a buy-and-build approach followed by a public offering.

1991 - 1993

Environmental Protection, Inc.
Founder, Director and Major Shareholder
Organized this environmental cleanup company (with three investors)
to handle hazardous waste, primarily related to hydrocarbon contamination
of soil and water.

1982 - Present

Three G Investments, Ltd
Managing General Partner
Investments in commercial and residential real estate, development, oil
and gas ventures, hotel ownership and management, registered cattle
operations in Texas, Georgia and Cost Rica, and commercial banking
in Houston and Brenham, Texas.

1977 - 1982

South Central Savings Association, Brenham, TX
Senior Vice President and Director
Arranged financing for purchase of this multi-branch savings and loan.
Served in senior management before arranging its sale in 1982.

1976 -1977

Texas Building Center, Inc. & Intile Design, Inc.
Organized with one partner these multi-location companies to service
the building trades. Sold my interest to out-of-state investors.

1971 - 1976

First Mortgage Company of Texas, Inc.

Vice President and Director

Texas First Mortgage, REIT

Managing Agent

Participated in the organization and underwriting of this nationwide construction and development lending entity.

Texas First Mortgage Advisors, Inc.

Executive Vice President and Director

Served in senior management of this advisory company to Texas First Mortgage REIT from its inception.

1969 - 1971

ADA Oil Company, Houston, TX

Vice President

International Land Resources

Vice President, General Manager and Director

Organized and managed day-to-day operations for one of the first SEC registered limited partnerships. Handled all aspects of evaluation, acquisition and sale of properties throughout the United States for the company and its principal shareholder, K.S. "Bud" Adams, Jr.

1967 - 1969

First General Realty Corporation, Houston, TX

Real Estate Development Manager

Participated in the evaluation, purchase, development and marketing of major residential and commercial projects.

EDUCATION

1965 - 1967

Wharton General School of Finance

University of Pennsylvania

Completed course of work for M.B.A. degree

1960 - 1963

LL. B. University of Texas School of Law

Austin, TX

1958 - 1958

University of Texas, Austin, TX

B.A. Liberal Arts

1956 - 1958

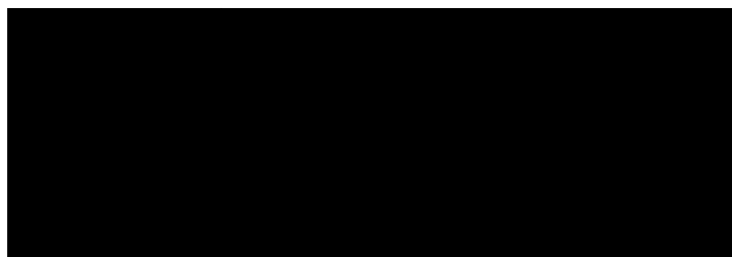
Washington & Lee University, Lexington, VA

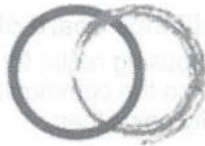
MILITARY

First Lieutenant, U.S. Army Military Police Corps., Assigned to the 95th Civil Affairs Group, Fort Gordon, GA. Top Secret security clearance. Served from 1963 to 1965.

AFFILIATIONS

- * • Texas National Bank, Brenham, Texas from 1983 to 2000.
Founder, Director and Chairman of the Audit Committee.
 - Boys and Girls Country of Houston, Inc.
Director from 1985 to 1997
Executive Committee Member from 1990 to 1997
Acting Executive Director, May to November, 1995 - Pro Bono
Honorary Lifetime Director since 1997
 - Texas Brangus Breeders Association
Director from 1977 to 1986
President 1983 and 1984
 - International Brangus Breeders Association
Director and Chairman of various Committees from 1982 to 1986
 - Berry College - Rome, Georgia
Member of Advisory Council (10 years)
 - "100" Club of Washington County, Brenham, TX
Organizer, Officer and Director (5 years)
 - Arts Council of Washington County
Founder and Director (3 years)
 - Washington County Heritage Society , Brenham, TX
Life Member
 - Houston Livestock Show and Rodeo
Director (9 years), Chairman or Member of various committees (20 years)
 - Treco, Inc. (Heavy equipment sales and leasing) ,Houston, TX
Director from 1980 - 1984
 - Tejas Vaqueros
Member from 1980 to 2009
 - Washington County Council, Brenham, TX
Committee Member 1992 to 2000
Vice Chairman from 1997 to 2000
 - Corcel, LLC (oil and gas management company)
Vice Chairman from 1995 to 2001
 - Cadence Therapeutic Riding, Durango, CO
Director and Treasurer 2001 to 2007
-





REGIONAL LA
HOUSING PLATA
ALLIANCE COUNTY

**Regional Housing Alliance of La Plata County
Board of Directors Application**

Name: Scott Graham

(HOME)

(WORK)

Address: [REDACTED]

Phone: [REDACTED]

E-mail: [REDACTED]

At which address do you prefer to receive mail: [REDACTED]

At which number do you prefer to receive calls: [REDACTED]

At which address do you prefer to receive e-mail: [REDACTED]

Current Occupation

Writer

Educational Experience

Salutatorian, Durango High School, 1978
B.A. in Journalism, Colorado State University, 1983

Past or Present Work and/or Volunteer Experience Pertinent to the Regional Housing Alliance Board of Directors Position

- Past Board Member and Vice-Chair of the Regional Housing Alliance for 8-9 years. Worked with Jen Lopez and Karen Iverson.
- As a Durango City Councilor, with fellow Councilors and city staff, directed the creation of, and helped with passage of, Durango's Fair Share Housing Ordinance.
- Provided communications for the New Mexico Mortgage Finance Authority for 4 years in the 1990s.
- Owner, with my wife, and current hands-on landlord/manager of 4 single-family rental properties in Durango.

Why are you interested in the Regional Housing Alliance Board of Directors Position?

I've enjoyed working and volunteering in the affordable housing realm for decades now, and I would appreciate the opportunity to continue giving back to the community by helping address what I see as the most pressing issue our county and city now faces.

What would you like to accomplish while serving on Regional Housing Alliance Board of Directors?

Creating affordable and attainable housing for working county and city residents and families, both ownership and rental.

Would serving on this Board cause any conflict of interest with your current occupation?

No.

Would you represent any specific segment of the community that has an interest in the activities of this Board or Commission?

I would represent the entire community.

Are you currently serving on any other local Board or Commission? If so, please list.

No. In the past, I chaired the Durango Open Space Advisory Board, now the Natural Lands Board, upon its initial creation by the city, for 5-6 years, and I served on the Durango Multi-Modal Advisory Board for 3 years, until last year.

[Redacted Signature]

(Signature)

Feb 17, 2022

(Date)

Please return this application to the Regional Housing Alliance of La Plata County by email at info@swccog.org. Your application will be kept on file for one (1) year in case additional vacancies occur. If you have any questions or need additional information concerning this application, please call 970-759-4134.

Equal Opportunity Statement
The Regional Housing Alliance does not discriminate on the basis of race, color, national origin, sex, religion, age, or disability in employment or the provision of services.



Regional Housing Alliance of La Plata County Board of Directors Application

Name: Daniel Morgenstern

(HOME)

(WORK)

Address: [Redacted]
Phone: [Redacted]
E-mail: [Redacted]

At which address do you prefer to receive mail: [Redacted]
At which number do you prefer to receive calls: [Redacted]
At which address do you prefer to receive e-mail: [Redacted]

Current Occupation

Retired CEO

Educational Experience

University of Rochester Eastman School of Music Bachelors
Boston Conservatory of Music Masters
I have completed the Interfaith Alliance Affordable Housing Training Course attended along with several representatives of local and state government.

Past or Present Work and/or Volunteer Experience Pertinent to the Regional Housing

Alliance Board of Directors Position

25 + Years as CEO of Cleveland Ohio Electroline Manufacturing Company leading to the profitable sale of the Company to NYSE TNB.
Co-Founder of the La Plata County Affordable Housing Task Force with Mary Kennedy of Mercy Housing.
Citizen founder of the Regional Housing Alliance. I played a key role along with Bill Mashaw and Reid Ross and the Affordable Housing Task Force to bring Jennifer Lopez to town thus facilitating her leadership role in local development. Board Member / Trustee of local Habitat for Humanity, Community Compassion Outreach, NINA (Neighbors in Need of Assistance) 35 Years of Board / Trustee experience, (many as President) of over 35 not for profits, with extensive experience in governance, grants and fundraising.

Why are you interested in the Regional Housing Alliance Board of Directors Position?

I have been a citizen advocate for affordable housing for the 21 + years in La Plata County. Having been CEO of Essential Housing Associates, worked extensively on housing needs at Fort Lewis College. As said before, helped establish the RHA.

What would you like to accomplish while serving on Regional Housing Alliance Board of Directors?

See that funding provides land and infrastructure to build LARGE numbers of workforce housing units. Address the housing gap BELOW 30% AMI as a vast number of our employees work below that threshold. Support the City and County to annex buildable land with the necessary water, sewer, roads and utilities which will repay their investment with additional long term revenue. I made some "political statements" in my initial application that I would like to amplify and clarify: I spoke about what is my personal vision (driven by mission) for the RHA, anticipating that the RHA mission is to build work force housing! I specifically wrote about the need for the City and County to cooperate by annexing land and investing in all infrastructure. This is the leading concrete way to pave the way towards building work force housing, (puns intended). I stand by the assertion that significant investment in connecting land, water, sewer, roads etc is the only way to start work force housing development.

Would serving on this Board cause any conflict of interest with your current occupation?

No. I'm retired.

Would you represent any specific segment of the community that has an interest in the activities of this Board or Commission?

Absolutely, starting with the unhoused, underemployed, individuals and families in need of affordable housing ownership and rentals. I am equally attuned to the homeowners who do not want these people in their back yards and believe I can promote the benefits everybody receives from having affordable workforce housing available.

Are you currently serving on any other local Board or Commission? If so, please list.

Director / Trustee NINA Neighbors in Need of Assistance

[Redacted Signature]

January 28, 2022

(Signature)

(Date)

Please return this application to the Regional Housing Alliance of La Plata County by email at info@swccog.org. Your application will be kept on file for one (1) year in case additional vacancies occur. If you have any questions or need additional information concerning this application, please call 970-759-4134.

Equal Opportunity Statement
The Regional Housing Alliance does not discriminate on the basis of race, color, national origin, sex, religion, age, or disability in employment or the provision of services.



**Regional Housing Alliance of La Plata County
Board of Directors Application**

Name: Eric Nelson

(HOME)

(WORK)

Address: [Redacted]
Phone: [Redacted]
E-mail: [Redacted]

At which address do you prefer to receive mail: [Redacted]
At which number do you prefer to receive calls: [Redacted]
At which address do you prefer to receive e-mail: [Redacted]

Current Occupation

Civil Engineer and Real Estate Investor

Educational Experience

Degree in Civil Engineering from Colorado State University

Past or Present Work and/or Volunteer Experience Pertinent to the Regional Housing

Alliance Board of Directors Position

I am the owner of Summit Engineering in Durango, and we provide house design, septic design, land use planning and some civil engineering.

I also own Wild Oak Capital. We purchase properties around the US, so I know a lot about lending, home ownership, and what it looks like to be a good landlord.

I grew up in Bayfield, and do work around the county. I'm very familiar with land use code, and land planning, and I know the challenge of housing and development in the area.

Why are you interested in the Regional Housing Alliance Board of Directors Position?

I think I have a good understanding of housing, lending, and some areas that could use actual change to help the housing challenge in our area.

What would you like to accomplish while serving on Regional Housing Alliance Board of Directors?

I would love to see actual change. The Board should create some actionable items, rather than just lip service. I think some land use challenges add to the struggle, as well as some misunderstandings around housing. I would love to be part of the solution.

Would serving on this Board cause any conflict of interest with your current occupation?

Not that I am aware of.

Would you represent any specific segment of the community that has an interest in the activities of this Board or Commission?

My understanding of land use, being a landlord, lending, and our local challenges would lend me to represent a large portion of the population.

Are you currently serving on any other local Board or Commission? If so, please list.

Yes. I am on the board of the Boys and Girls Club of La Plata county.

[Redacted Signature]

2-17-22

(Signature)

(Date)

Please return this application to the Regional Housing Alliance of La Plata County by email at info@swccog.org. Your application will be kept on file for one (1) year in case additional vacancies occur. If you have any questions or need additional information concerning this application, please call 970-759-4134.

Equal Opportunity Statement
The Regional Housing Alliance does not discriminate on the basis of race, color, national origin, sex, religion, age, or disability in employment or the provision of services.

RFP for Financial Review Services

To: Regional Housing Alliance Board

From: Jessica Laitsch

Date: March 3, 2022

In January, staff issued an RFP seeking professional audit services for the annual audit of the financial statements for fiscal year 2021. No responses were received in response to this RFP. At the direction of the Board, staff contacted the Office of the State Auditor who confirmed that because revenues and expenses in 2021 were below \$750,000, the RHA may apply for exemption from audit. The exemption request is included in the decision portion of the meeting.

At the February meeting, the Board directed staff to pursue a financial review for the fiscal years 2018-2021. Please note, the Office of the State Auditor stated that if the RHA chooses to conduct a financial review, the State could not accept this in lieu of an audit, this should be understood as an informational only process for the RHA Board. At the Board's direction, staff sought contact information for firms that may be interested in conducting a financial review and issued an RFP seeking such services. Any proposals received in response to this RFP will be presented for consideration at the April meeting.

RHA 2021-22 Action Plan Update

To: Regional Housing Alliance Board

From: Jessica Laitsch

Date: March 3, 2022

Attached is the action plan updated through February 2022. This is being presented monthly with any updates as applicable. If the Board would prefer a different update schedule or report format, please notify Jessica.

RHA 2021-22 Action Plan

Updated February 2022

	Jan-22	Feb-22	Mar-22
Leadership: Coordination & Collaboration	Outreach to stakeholders Who Board Status Not Started	Outreach to stakeholders Who Board Status Not Started	Outreach to stakeholders Who Board Status Ongoing
	Public outreach How: PSA's, News/Media, etc Who Status Not Started	Public outreach How: PSA's, News/Media, etc Who Status Not Started	Public outreach How: PSA's, News/Media, etc Who Status Not Started
	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT Who Status Ongoing	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT Who Status Ongoing	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT, EDCC, Housing Colorado Who Status Ongoing
Staffing: Administration & Management	Ideal future: Secure all administrative support needed for the RHA - Executive Director / support staff Who Status Not Started	Ideal future: Secure all administrative support needed for the RHA - Executive Director / support staff Who Status Not Started	Ideal future: Secure all administrative support needed for the RHA - Executive Director / support staff Who Status Not Started
	Hire Consulting Firm/ Contractor Who Board Status Ongoing	Hire Consulting Firm/ Contractor Who Board Status Ongoing	Hire Consulting Firm/ Contractor Who Board Status Ongoing
	Develop Scope of Work, RFP & Timeline for consulting firm / contractor Who Status Ongoing	Who Status	Who Status
Funding	Who Status	Who Status	Explore funding opportunities Who SWCCOG/Board Status Not Started
	Who Status	Who Status	Who Status
Development	Coordinate effort to support and/or start 2 projects Who Consultant Status Not Started	Coordinate effort to support and/or start 2 projects Who Consultant Status Not Started	Coordinate effort to support and/or start 2 projects Who Consultant Status Not Started
	Outreach to developers Who Consultant Status Not Started	Outreach to developers Who Consultant Status Not Started	Outreach to developers Who Consultant Status Not Started

RHA 2021-22 Action Plan

Updated February 2022

	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Leadership: Coordination & Collaboration	Renovate/amend IGA & send to RHA Board for review. Who Mike, Mark & Kevin Status Complete		Get political alignment from all entities & decision from all 4 to approve the amended IGA for buy-in. Who Board Status Complete			RHA exposure to elected officials first. Get out of hiding! Who Board Status Not Started
	Meet monthly & involve partners in meetings (communicate) Who Board, SWCCOG Coordinate Status Ongoing			Quarterly updates & presentations for Electeds. Who Board Status Ongoing		Public outreach How: PSA's, News/Media, etc Who Status Not Started
	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT Who Status Ongoing	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT Who SWCCOG Add to Agenda Status Ongoing	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT Who SWCCOG Add to Agenda Status Ongoing	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT Who Status Ongoing	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT Who Status Ongoing	Add agenda item to board meetings to review pending legislation for advocacy needs: CML, CCI, CCAT Who Status Ongoing
Staffing: Administration & Management	Dedicate funding for contractor/firm. \$50k is already in budget, less facilitation costs Who Board, SWCCOG Coordinate Status Complete				RHA board to approve the Scope of Work, RFP & Timeline for hiring consulting firm/ consultant Who Status Complete	Consultant to develop the structure of the RHA (priorities, staffing structure, etc) Who Status Not Started
			Allocate gap resources for SWCCOG scope of work to hire consultants. Who SWCCOG Add to Agenda Status Complete		In-between steps of releasing RFP, vetting proposals, interviews, negotiations, etc Who SWCCOG and Board Status Ongoing	In-between steps of releasing RFP, vetting proposals, interviews, negotiations, etc Who Board Status Ongoing
						Develop Scope of Work, RFP & Timeline for consulting firm / contractor Who Status Ongoing
Funding		Track & organize funding opportunities to ensure no funding is missed (ARPA, State, federal) Who Grantwriter/Consultant Status Not Started		2022 Draft Budget Who SWCCOG/Board Status Complete		Approve 2022 Budget Who SWCCOG/Board Status Complete
		DOLA-Housing. Local rep: Andrew Atchley presentation Who Katie/Miriam Status Complete				
Development	Identify and list of potential immediate development opportunities & joint ARPA projects. Who Board, Mike S, Kevin Status Ongoing					
	Create a checklist to be used for all development projects Who Marsha Status Ongoing					

Policy Committee and Legislation Update

To: Regional Housing Alliance Board

From: Jessica Laitsch

Date: March 3, 2022

The RHA Policy Committee is scheduled to meet on March 3, 2022.

Also, attached is a summary of various bills related to housing issues that have been introduced in the Colorado General Assembly in the 2022 general session.

Housing Colorado Bill Tracker (02/24/2022)

Bill #	Title	Intro Date	House Sponsors	House Committee	Senate Committee	Senate Sponsors	Status	Description	Hearing Date	Fiscal Notes	Position
HB22-1051	Mod Affordable Housing Tax Credit	1/13/2022	H. McKean (R), S. Bird (D)	Transportation and Local Government		R. Zenzinger (D), D. Hisey (R)	House Committee on Transportation & Local Government Refer Unamended to Finance (02/16/2022)	Concerning modification of the Colorado affordable housing tax credit, and, in connection therewith, extending the time during which the credit may be claimed and increasing the yearly amount of credits that can be allocated.	2/28/2022	Fiscal Notes (01/28/2022)	Support
HB22-1082	Establish Fair Housing Unit Department Of Law	1/19/2022	E. Hooton (D), J. Bacon (D)	Judiciary			House Committee on Judiciary Refer Amended to Appropriations (02/09/2022)	Concerning the enforcement of state housing laws by the department of law, and, in connection therewith, establishing a fair housing unit within the department of law.		Fiscal Notes (02/02/2022)	Monitor
HB22-1083	Colorado Homeless Contribution Income Tax Credit	1/19/2022	K. Tipper (D), J. Rich (R)	Finance		F. Winter (D)	House Committee on Finance Refer Amended to Appropriations (02/10/2022)	Concerning the creation of the Colorado homeless contribution income tax credit.		Fiscal Notes (02/04/2022)	Support
HB22-1102	Veterans And Military Status In Fair Housing	1/20/2022	T. Sullivan (D), D. Ortiz (D)	State, Civic, Military and Veterans Affairs	Judiciary	R. Gardner (R)	Introduced In Senate - Assigned to Judiciary (02/14/2022)	Concerning protected classes in fair housing practices, and, in connection therewith, including a veteran or military status as a protected class.		Fiscal Notes (02/01/2022)	Support
HB22-1117	Use Of Local Lodging Tax Revenue	1/21/2022	M. Catlin (R), D. Roberts (D)	Finance	Finance	D. Coram (R), K. Donovan (D)	Introduced In Senate - Assigned to Finance (02/18/2022)	Concerning the use of revenue from a local tax on lodging.		Fiscal Notes (02/14/2022)	Support
HB22-1127	Income Tax Deduction For Rent	1/21/2022	D. Woog (R)	Finance			Introduced In House - Assigned to Finance (01/21/2022)	Concerning the creation of an income tax deduction for rent paid.		Fiscal Notes (00/00/0000)	Monitor
HB22-1205	Senior Housing Income Tax Credit	2/8/2022	C. Kennedy (D), M. Weissman (D)	Finance		C. Hansen (D), J. Coleman (D)	Introduced In House - Assigned to Finance (02/08/2022)	Concerning the creation of an income tax credit to help income-qualified seniors afford housing.		Fiscal Notes (00/00/0000)	Monitor
HB22-1239	Regulate Community Association Managers	2/16/2022	B. Titone (D)	Transportation and Local Government			Introduced In House - Assigned to Transportation & Local Government (02/16/2022)	Concerning the regulation of community association managers by the division of real estate in the department of regulatory agencies.	3/9/2022	Fiscal Notes (00/00/0000)	Monitor
HB22-1242	Regulate Tiny Homes Manufacture Sale And Install	2/16/2022	T. Exum Sr. (D), C. Kipp (D)	Transportation and Local Government		J. Ginal (D), D. Hisey (R)	Introduced In House - Assigned to Transportation & Local Government (02/16/2022)	Concerning the regulation of structures that are manufactured at a location that is not at the site where the structure is occupied.	3/1/2022	Fiscal Notes (00/00/0000)	Monitor
SB22-063	Property Ownership Fairness Act	1/18/2022	A. Pico (R)		State, Veterans and Military Affairs	L. Liston (R)	Senate Committee on State, Veterans, & Military Affairs Witness Testimony and/or Committee Discussion Only (02/08/2022)	Concerning the "property ownership fairness act".	3/1/2022	Fiscal Notes (01/27/2022)	Monitor

SWCCOG 2022 Legislative Summary - State

Bill No	Short Title	Subject	Summary	Sponsors	Recent Action	Action Date	Position	Result	Staff Comments
HB22-1051	Mod Affordable Housing Tax Credit	Housing	Modification of the Colorado Affordable Housing Tax Credit, extending the time during which the credit may be claimed and increasing the yearly amount of credits that can be allocated.	Bird, McKean, Zenzinger, Hisey	Referred to Finance Committee	16-Feb-2022			CML supports, CCI supports, Economic Development Council of Colorado (EDCC) supports, Housing Colorado supports
HB22-1083	Colorado Homeless Contribution Income Tax Credit	Housing	Repeals an existing income tax credit available to taxpayers who make contributions to enterprise zone administrators to promote temporary, emergency, or transitional housing programs for people experiencing homelessness and replaces that income tax credit with one that is available in the entire state.	Tipper, Rich, Winter	Referred to Appropriations Committee	10-Feb-2022			Housing Colorado supports
SB22-072	Grants To Incentivize Home Use For Renters	Housing	Concerning the creation of a grant program to make grant awards to home owners who make residential space in their homes available for individuals seeking housing on a long-term rental basis.	Lundeen	Introduced	19-Jan-2022			
HB22-1117	Use Of Local Lodging Tax Revenue	Tourism	Concerning the use of revenue from a local tax on lodging.	Roberts, Catlin, Coram, Donovan	Amended, Introduced in Senate	18-Feb-2022			CCI supports, EDCC supports, Housing Colorado supports

Section I – Member Updates
